Resolution to Amend the Bylaws of Penn Medicine to Include
The Chester County Hospital and Health System and Affiliates as Penn Medicine Constituents
in the Penn Medicine Governance

Intention:

The Trustees of the University of Pennsylvania ("The Trustees") on behalf of Penn Medicine and the University of Pennsylvania Health System ("UPHS") intend to enter into definitive agreements with The Chester County Hospital and Health System ("TCCHHS"), The Chester County Hospital ("TCCH") and The Chester County Hospital Foundation, Inc with respect to a membership substitution transaction ("Definitive Agreement"). Pursuant to the Definitive Agreement, The Trustees will become the sole and controlling member of TCCHHS and its controlled affiliates including TCCH on the effective date of the closing ("Closing"). Closing is anticipated to be no later than September 30, 2013. As part of the affiliation, TCCHHS and TCCH and other TCCHHS affiliates in which TCCHHS is the sole member or sole shareholder will join UPHS and become Penn Medicine Constituents.

In accordance with Article 7 of the Statutes of the Trustees of the University of Pennsylvania and Section 13 of the Bylaws of Penn Medicine, the Penn Medicine Executive Committee has recommended to The Trustees the amendment of Sections 1, 3.2, 3.3, 4.1, and 4.2 of the Bylaws of Penn Medicine. The proposed amendments will enable TCCHHS's participation in the Penn Medicine governance subject to Penn Medicine's and The Trustees' reserved powers, consistent with the terms of the Definitive Agreement.

RESOLVED, that the Bylaws of Penn Medicine are amended to make various changes as described in the foregoing Intention. Specifically, Sections 1, 3.2, 3.3, 4.1 and 4.2 of the Bylaws of Penn Medicine are proposed to be amended and restated, as of the effective date of the closing of the transaction as follows (additions shown as underlined; deletions shown as strikethroughs):

"Section 1. PURPOSE AND RESPONSIBILITIES

The purpose of Penn Medicine shall be to operate, oversee and coordinate the activities of an integrated system for medical education, research and patient care that consists of The Raymond and Ruth Perelman School of Medicine at the University of Pennsylvania (the "Perelman School of Medicine"), the Clinical Practices of the University of Pennsylvania ("CPUP"), the Hospital of the University of Pennsylvania ("HUP"), the Pennsylvania Hospital of the University of Pennsylvania Health System ("PAH"), Presbyterian Medical Center of the University of Pennsylvania Health System d.b.a. Penn Presbyterian Medical Center ("PPMC"), The Chester County Hospital ("TCCH"), The Chester County Hospital and Health System ("TCCHHS"), Clinical Care Associates of the University of Pennsylvania Health System ("CCA"), Wissahickon Hospice ("WH") and such other clinical health care enterprises, including without limitation networks of physicians, ambulatory care facilities, management services organizations and managed care organizations, that are from time to time under the control of the University, whether through ownership, membership, contract or otherwise. For convenience, HUP, PAH, PPMC, TCCH, TCCHHS, CCA, WH and all such other clinical health care enterprises are referred to individually and collectively as "Clinical Components," and the Perelman School of Medicine, CPUP and Clinical Components are referred to collectively as the "Penn Medicine Constituents," the "University of Pennsylvania Health System" or such other name or names as the University Trustees may from time to time determine.
Section 3.  PENN MEDICINE BOARD…

3.2  **Number, Election and Classification.** The Board shall be appointed by the University Trustees upon nomination by the Chairman of the University Trustees, the Chairman of the Penn Medicine Board and the President of the University, acting jointly, and shall consist of a number of persons not greater than forty (40) (not including members *ex officio* or Emeriti Members). The members of the Penn Medicine Board shall be persons who, by their experience and expertise, can further the mission of Penn Medicine. The Board shall include all members of the Penn Medicine Executive Committee. The Provost of the University, the Vice President for Finance and Treasurer of the University, the Chairman of the Board of Overseers of the School of Nursing, and the Chairmen of the HUP, PAH and PPMC Boards shall serve as members of the Board *ex officio*. For a period of ten (10) years from the effective date of the corporate affiliation by which TCCH and TCCHHS and its affiliates became Penn Medicine Constituents ("Initial TCCHHS Affiliation Date"), the Chairman of the TCCHHS Board shall also serve as a member of the Board *ex officio*. The members of the Penn Medicine Board (not including members *ex officio*) shall consist of three (3) classes, one of which shall be designated the "Term Members" another of which shall be designated the "Charter Members", and a third of which shall be designated the "Emeriti Members." There may be up to ten (10) Charter Members of the Penn Medicine Board. The Charter Members may be appointed to serve from among those persons who have served for a period of nine (9) years as Term Members (or as members of the Penn Medicine Board appointed prior to February 27, 2009).

3.3  **Term of Office**

(a) **Term Members.** A Term Member may be appointed for a three (3) year term and thereafter each shall hold office until his or her successor is elected and qualified, unless he or she sooner dies, resigns, is removed or becomes disqualified. The term may be renewed no more than two (2) times for a maximum of nine (9) years of service as a Term Member. The period of prior service on the Executive Committee as a University Trustee shall also be applied to this nine (9) year maximum. The terms of Term Members who have been appointed or reappointed as members of the Penn Medicine Board prior to February 27, 2009, including those who may have been reappointed in any capacity prior to such date, may be renewed for three (3) year terms or for this transition only, for a one (1) or two (2) year period, or portions thereof, provided they shall be appointed for a maximum of nine (9) years of service as a Term Member (including such prior period of service on the Penn Medicine Board).

(b) **Charter Members.** A Charter Member may be appointed to serve from among persons who have served as Term Members for a period of nine (9) years (including such period of service as members of the Penn Medicine Board prior to February 27, 2009 or as a University Trustee on the Executive Committee). A Charter Member may be appointed for a five (5) year term and thereafter shall hold office until his or her successor is elected and
qualified, unless he or she sooner dies, resigns, is removed or becomes disqualified. The term of a Charter Member may be renewed one (1) time for a maximum of ten (10) years of service as a Charter Member.

(c) *Ex officio* Members and Officers. The terms of *ex officio* members and officers shall be for as long as such member or officer holds the *ex officio* or officer position or as otherwise set forth in Section 3.2 above.

(d) Emeriti Members. Term Members or Charter Members who have previously served as Term Members or Charter members and who will further the mission of Penn Medicine through ongoing service and philanthropy may be designated as Emeriti Members. Emeriti Members may attend Board meetings as determined by the Chairman of the Penn Medicine Board. Subsections 4.1, 5.2, 5.5, 5.6, 5.7 and Section 7 shall not apply to Emeriti Members.

(e) Special Qualification. For a period of ten (10) years from the Initial TCCHHS Affiliation Date (the "Initial Ten Year Period"), one (1) Term Member or Charter Member shall be appointed from a slate of three (3) members of the TCCHHS Board, nominated by The Chester County Hospital Foundation Inc., each of whom shall be an independent community member whose primary residence is within Chester County, Pennsylvania or a physician in good standing on TCCH's medical staff. In the event that the Chair of the TCCHHS Board does not continue to serve on the Executive Committee during the ten (10) year period after the Initial TCCHHS Affiliation Date, the appointment of the Term Member or Charter Member to the Board shall be extended to the expiration of the ten (10) year period after the Initial Ten Year Period.

Section 4. PENN MEDICINE EXECUTIVE COMMITTEE

4.1. **Establishment of Executive Committee.** The Executive Committee shall consist of no more than 16 members. A majority of the Executive Committee members (not including the EVP/Dean, the Executive Vice President of the University, and the CEO) shall be University Trustees. The Executive Committee shall be nominated by the Chairman of the University Trustees, the Chairman of the Penn Medicine Board and the President of the University, acting jointly, approved by the University Trustees, and shall consist of the following:

(a) The Chairman of the Penn Medicine Board, who is also a University Trustee, and who shall be the Chairman of the Executive Committee.

(b) Five members of the Executive Committee shall serve *ex officio*, with vote:

(1) The Chairman of the University Trustees, who is also a University Trustee;

(2) The President of the University, who is also a University Trustee;
(3) The Executive Vice President of the University of Pennsylvania for the Health System/Dean of the Perelman School of Medicine ("EVP/Dean");

(4) The Executive Vice President of the University; and

(5) The Chief Executive Officer of the Clinical Components ("CEO").

(c) The following persons shall also be appointed to the Executive Committee:

(1) One (1) University Trustee who is a member of the University's Budget and Finance Committee and who shall also serve as a member of the Penn Medicine Finance Committee;

(2) One (1) University Trustee who is a member of the University's Audit and Compliance Committee and who also shall serve as a member of the Penn Medicine Audit and Compliance Committee.

(3) For a period of ten (10) years from the Initial TCCHHS Affiliation Date, the Chair of the TCCHHS Board ex officio, with vote.

(d) Following a term of service of any length on the Executive Committee as a University Trustee, such individual may subsequently be appointed to the Executive Committee in his/her own right for no more than three (3) years.

(e) The appointed members of the Executive Committee shall serve at the pleasure of the Chairman of the University Trustees, the Chairman of the Penn Medicine Board, and the President of the University.

4.2. Authority of Executive Committee: The affairs of the Penn Medicine Constituents shall be managed by the Executive Committee, which shall have primary authority and responsibility for the oversight of Penn Medicine and shall exercise all of the powers of the Board, except for the powers specified in Section 5731 of the Pennsylvania Nonprofit Corporation Law (or any successor provision). Subject to the University Statutes and applicable law, the Executive Committee shall have the authority to take all actions on behalf of the University Trustees that would otherwise be taken by the University in its capacity as the owner of assets or shares of a Penn Medicine Constituent, member of a nonprofit Penn Medicine Constituent, operator of a Penn Medicine Constituent that is a subdivision of the University or party to a contract with a Penn Medicine Constituent, except that any authority of the Executive Committee with respect to members of the University Faculty shall be subject to the University Statutes and Faculty Handbook and except that the prior approval of the University Trustees shall be required for each of the following:

(a) The annual capital and operating budgets of Penn Medicine;

(b) Capital expenditures for any project or program in excess of five million dollars ($5,000,000);
(c) The acquisition or sale of any real estate within the University campus or immediately surrounding area;

(d) Any increase of indebtedness, secured or unsecured, of the University in excess of the amount approved in the annual budget; any restructuring of indebtedness, or modifications of agreements or arrangements by which the University guaranties or provides surety for the obligations of any Clinical Component, shall be subject to the approval of the University Trustees through the University Budget and Finance Committee;

(e) The closure, discontinuation, dissolution, sale, or other termination of HUP, PAH, PPMC, TCCH, TCCHHS, CCA or WH;

(f) A merger or consolidation involving any Penn Medicine Constituent or the acquisition, purchase, or creation of a Penn Medicine Constituent resulting in a material change in services;

(g) Any change in the name of HUP, PAH, or PPMC, or TCCH;

(h) Termination of any degree-granting program offered, sponsored or provided by a Penn Medicine Constituent;

(i) Any action relating to the Perelman School of Medicine which, pursuant to University policies or procedures, must be approved by the University; and

(j) Amendment of the Bylaws of Penn Medicine as provided in Section 13 hereof."
Resolution to Authorize the Deregistration of The Trustees of The University of Pennsylvania 
Abu Dhabi Branch Office

Intention:

Being no longer necessary or desirable to maintain a legal presence in Abu Dhabi, United Arab Emirates, The Trustees of the University of Pennsylvania wish to authorize the deregistration of its existing branch office in Abu Dhabi.

THEREFORE, it is hereby:

RESOLVED, that The Trustees of the University of Pennsylvania, a corporation duly organized and existing under the laws of the Commonwealth of Pennsylvania, United States of America ("University"), has established a wholly foreign-owned branch office in the Emirate of Abu Dhabi under Abu Dhabi Department of Economic Development Commercial License No. CN-1187608 (the "Branch"), and now desires to close the Branch and to deregister the Branch from all relevant United Arab Emirates and Abu Dhabi federal and local government authorities, bodies, agencies and ministries and instrumentalities, including but not limited to, the UAE Ministry of Economy, the Abu Dhabi Department of Economic Development, the Abu Dhabi Municipality, the Abu Dhabi Chamber of Commerce and Industry, the Abu Dhabi Center of Waste Management, the UAE Ministry of Interior, the Immigration Department, the UAE Ministry of Labor and Social Affairs, the UAE Ministry of Higher Education and Social Research, the Abu Dhabi Education Council, the Abu Dhabi Centre for Technical and Vocational Education and Training, and the UAE Commission for Academic Accreditation (the "Authorities"), in accordance with applicable laws and regulations.

FURTHER RESOLVED, that the President of the University, the Provost of the University, the Dean of The Wharton School, and the Senior Vice President and General Counsel of the University be, and each of them hereby is, authorized to approve the form, conditions, and terms of, and execute and deliver, such agreements, resolutions, powers of attorney, deeds, leases, applications, and other documents as any of them deem necessary or appropriate with respect to the cancellation and deregistration of the Branch or the activities engaged in through the Branch, and each of them hereby is authorized to designate one or more individuals as manager(s) of the Branch (or other titles), with such power and authority as designated and delegated to him or her from time to time by the President, Provost, Dean of The Wharton School, or Senior Vice President and General Counsel, in connection with the cancellation and deregistration of the Branch or the activities engaged in through the Branch.

FURTHER RESOLVED, that Pankaj Paul, a citizen of the United States and holder of United States Passport Number 097123723, be and hereby is authorized to undertake such actions on the University's and the Branch's behalf as are or may be required in connection with the cancellation and deregistration of the Branch from the Authorities, and that a Power of Attorney be issued to him in this regard.

FURTHER RESOLVED, that Suleman A. Din, a citizen of the United States and holder of United States Passport Number 444219861, be and hereby is authorized to undertake such actions on the University's and the Branch's behalf as are or may be required in connection with the cancellation and deregistration of the Branch from the Authorities, and that a Power of Attorney be issued to him in this regard.
**FURTHER RESOLVED**, that a Power of Attorney be granted to Hassan Mostafa Kamel Elsayed or Mark Edwin Bisch or John Charles Boehm Jr. or Richard Paul Devine or Vandana Manoj Rupani or Tarek Moheb Saleh Abdel Sayed or Khadim Hussain Allah Ditta, each individually, to act on the University's and the Branch's behalf in connection with the cancellation and deregistration of the Branch from all the Authorities, and that a Power of Attorney be issued to them in this regard.
Resolution of Appreciation for Lee Spelman Doty

For Lee Spelman Doty, an extraordinary talent for connecting people to one another and to Penn brings the theory of "six degrees of separation" closer to zero. The force of her personality and her passion for Penn are that strong.

Lee's own connection to Penn began serendipitously, with the chance sighting of a University admissions catalog. She applied to the Wharton School, and her life was transformed. In the 37 years since her graduation from Penn, Lee has dedicated herself to returning that favor to the Red and Blue.

Her contributions to Penn are legion. With her husband, George Doty, W'76, she has led the Class of 1976's Gift Committee for the past four reunions, and they have supported the University through their generous philanthropy. Lee played an important role in the creation of a new home for The Penn Club of New York, which opened in 1994, after an extensive renovation, in a magnificent Beaux-Arts style building on 30 W. 44th Street. She has served with distinction as a member of the Penn Medicine Executive Committee and the Graduate School of Education Overseers. Today, Lee brings her business acumen and Penn pride to her work as a member of the Board of Trustees, Trustees' Council of Penn Women, Penn Alumni Board of Directors, and as Chair of the Trustee Development Committee.

But it was as President of Penn Alumni during the Making History Campaign that Lee had her greatest impact. She traveled extensively, meeting alumni on campus, across the country, and around the world. In fact, she truly Made History.

Lee's goal at her election in 2008 was simple but daunting: to forge new connections between Penn and its alumni, especially younger alumni, around the globe. Under her leadership, Penn developed new events and reimagined existing events to bring alumni to Penn—and Penn to alumni. The traditional Homecoming Weekend was refreshed to include arts and cultural activities—everything from a Locust Walk arts fair featuring alumni's own creations—to architectural tours—and more graduates returned to campus. Participation in Alumni Weekend grew as innovative new programs were added. The Penn Traditions program was enhanced to connect students to alumni and foster lifetime connections to the University.

During the Campaign years, new events were created to meet the needs of Penn alumni today, whatever their age, interest, or affiliation. yPenn events now cater to alumni who have graduated within the past 15 years, and the Penn Spectrum Conference celebrates and explores diversity. Other events, such as Engaging Minds and Penn to You, bring Penn faculty to cities around the world, so alumni can continue to receive intellectual enrichment from their alma mater, wherever they make their home.

The numbers speak for themselves. During Lee's tenure, attendance at major alumni events increased by 66%, and attendance at young alumni events jumped an astounding 89%. QuakerNet welcomed 56,414 new members, and 105,000 alumni connected to Penn and their fellow Quakers through the online community. Today, alumni are connected to their alma mater and to each other, in ways new and old, and in unprecedented numbers—thanks to Lee Doty's leadership and vision.
RESOLVED, that the members of the Board of Trustees, on behalf of themselves and the administration, faculty, and alumni of the University, convey our profound gratitude and heartfelt thanks to Lee Doty for her history-making achievements, for the University and its approximately 295,000 living graduates. Today, Penn is stronger thanks to many connections Lee has forged between Penn and its alumni.
Resolution to Reelect David L. Cohen as Chair of the Board of Trustees

RESOLVED, that David L. Cohen be reelected Chair of the Board of Trustees of the University of Pennsylvania, effective July 1, 2013 through June 30, 2014.
Resolution to Reelect David M. Silfen as Vice Chair of the Board of Trustees

RESOLVED, that David M. Silfen be reelected as Vice Chair of the Board of Trustees of the University of Pennsylvania, effective July 1, 2013, for the term ending June 30, 2014.
Resolution to Elect the Executive Committee

RESOLVED, that the following Trustees be elected members of the Executive Committee for one-year terms effective July 1, 2013: David L. Cohen (chair), Lee Spelman Doty, Jay Fishman, Amy Gutmann (ex officio), Janet Haas, Andrew R. Heyer, Robert M. Levy, Andrea Mitchell, Egbert L.J. Perry, Julie Beren Platt, Andrew S. Rachleff, David M. Silfen (vice chair) and Mark O. Winkelman.

FURTHER RESOLVED, that James S. Riepe be elected a member of the Executive Committee from July 1, 2013 — November 8, 2013.
Resolution to Elect the Investment Board

RESOLVED, that the following persons be elected members of the Investment Board of the Trustees of the University of Pennsylvania for a term of one year, effective July 1, 2013, and until their successors are elected or appointed and qualified: David L. Cohen (ex officio), Judith Bollinger, Perry Golkin, Joel Greenblatt, Amy Gutmann (ex officio), Robert S. Kapito, Robert M. Levy (chair), Andrew S. Rachleff (vice chair) and James S. Riepe.
Resolution of Appreciation for Susan W. Catherwood and Designation as Emerita Trustee

Tennis and golf aficionados like Susan Catherwood often see their sports as metaphors for life. Apply skill, discipline and passion to any endeavor, and the results are likely to be spectacular. Throughout the Philadelphia region, Susan’s work on behalf of the organizations she champions certainly has proven the power of her estimable talents. For more than four decades, our University has been the fortunate recipient of her time and commitment.

A tennis player since girlhood, Susan introduced her childhood friend—none other than Billie Jean King—to the game. Susan went on to play both tennis and golf at Stanford University. While there, she showed early indications of her trademark can-do attitude, agreeing on the spot to run the student union when the former manager quit unexpectedly, and proving to be an able leader at an early age.

Back on the East Coast, one of Susan’s first true loves at Penn was the Museum, where she launched new projects, participated in fundraising, and lent her counsel wherever and whenever it was needed. In the early 1970s, she became a member of the Women’s Committee and served as its chair from 1979 to 1982, when she stepped down to become the first female chair of the Museum’s Board of Overseers. For her energy and commitment to the Museum, Susan was awarded the Marian Angell Godfrey Boyer Medal for distinguished service in 1997, named Chair Emerita in 2000, and honored as Volunteer of the Year in 2007.

Susan brought that same level of focused engagement when she was named a University Trustee in 1982. She served as vice chair of the Board from 1991 to 2001, chaired the Student Life Committee, and also served on the Executive, Budget and Finance, and Legal Affairs Committees, among other key roles.

Susan’s far-ranging commitments to Penn included serving on the board of the Hospital of the University of Pennsylvania, beginning in 1988, and as the first chair of the trustee board of the reorganized University of Pennsylvania Medical Center and Health System from 1991 to 1999. She was an Overseer of the Medical School from 1990 to 1996, and vice chair for the Medical Center/Health System’s fundraising campaign in the early 1990s. Susan also served on the Wharton Undergraduate Executive Board from 1985 to 2003, and on the Joint Committee of the Trustees of the Annenberg School, inspired no doubt by her longstanding friendship with Walter and Leonore Annenberg.

Susan’s philanthropy to Penn has been as ubiquitous as her volunteer leadership. A supporter of the Museum for more than 40 years, she also established the Susan W. Catherwood Trustee Scholarship and has given generously to women's athletics, the Wharton School, and the School of Arts and Sciences.

A true and tireless humanitarian leader, Susan has touched countless lives through her leadership at organizations beyond campus. Her current board affiliations include the Pew Charitable Trusts, the Glenmede Corporation, and the Monell Chemical Senses Center, among others. She has also served as a director of The First Pennsylvania Corporation and PECO Energy and was the first woman board chair of the United Way of Southeastern Pennsylvania. She is president of the Distinguished Daughters of Pennsylvania, and the recipient of an Honorary Doctor of Humane Letters degree from Philadelphia University.
RESOLVED, that the Trustees of the University of Pennsylvania, on behalf of themselves, the administration, faculty, students, and alumni of the University, convey our deepest admiration to Susan W. Catherwood, for her tireless and impactful service to the community and our gratitude for her four decades of productive engagement with the University of Pennsylvania.

FURTHER RESOLVED, that Susan Catherwood be designated an Emerita Trustee, effective June 14, 2013.
Resolution to Elect Kenneth D. Moelis as Term Trustee

RESOLVED, that Kenneth D. Moelis be elected to a five-year term as Term Trustee, effective June 14, 2013.

Ken Moelis (W'80, WG'81), is the Founder and Chief Executive Officer of Moelis & Company in Los Angeles. Founded in July 2007, Moelis & Company is an investment bank that provides financial advisory services and capital raising solutions to clients in connection with mergers and acquisitions, restructurings and other strategic matters. The firm also manages investment funds that integrate capital with its advisory expertise. With over 470 employees across seven offices globally, the firm has advised on over $400 billion of transactions and has $3.9 billion of total asset management capital. Moelis & Company very actively recruits from among Wharton graduates.

Mr. Moelis is a Trustee of The Ken and Julie Moelis Foundation, Chairman of the Tourette Syndrome Association, Inc. and a member of the Board of Governors at Cedars Sinai Hospital as well as the Los Angeles Advisory Board, Posse Foundation.

Mr. Moelis is married to Julie Taffet Moelis (W'81), and they are proud Penn parents of Jordan Moelis (W'09, WG'10), Cory Moelis (W'11) and Adam Moelis (W'14). The extended Moelis family, including his parents and siblings, has been very involved with Penn. They have many interests and support several areas at the University.

At Penn, Mr. Moelis is a member of the Wharton Board of Overseers. He and Julie established the Julie and Ken Moelis Endowed Fund which provides research support for the Wharton Sports Business Initiative, headed by Ken Shropshire.
 Resolution to Elect Andrew R. Heyer as Charter Trustee

RESOLVED, that Andrew R. Heyer be elected Charter Trustee effective June 14, 2013.

Andrew R. Heyer (W'79, WG'79) is the Chief Executive Officer and a Managing Director of Mistral Equity Partners. Prior to founding Mistral, Mr. Heyer served as a Founding Managing Partner of Trimaran Capital Partners, L.L.C., which is the investment manager of the Prior Funds, and a member of the Investment Committee of Trimaran Advisors, L.L.C., which is the investment advisor to a series of collateralized loan obligation funds. Mr. Heyer currently serves on the Board of Directors of Shearer's Foods, Inc., Jamba, Inc., Worldwise, Inc., XpresSpa and Vino Volo.

At Penn, Mr. Heyer is Chair of the Budget and Finance Committee, is a member of the Executive Committee and the Audit and Compliance Committee, and is Vice Chair of the Development Committee. Mr. Heyer also serves on the Executive Committee of Penn Medicine, as well as the Board of Penn Medicine and is a member of the Board of Overseers of Penn's School of Social Policy & Practice, of which he is the former chair. Mr. Heyer's support for Penn includes the Quad Renewal Project, Fisher-Bennett Hall, the School of Social Policy & Practice and the Vet School.

His wife Mindy (C'79, W'79, WG'80) is Chair of the Vet Board of Overseers and both are proud Penn parents of their four sons: James Heyer (W'05), Harris Heyer (W'12), Peter Heyer (ENG'11, W'11), and William Heyer (C'09, GFA'11, GAR'11).
Resolution to Reelect Daniel S. Och as Term Trustee

RESOLVED, that Daniel S. Och be re-elected to a five-year term as Term Trustee effective June 14, 2013.

Daniel S. Och (W'82) is the Founder, Chairman, and Chief Executive Officer of Och-Ziff Capital Management Group LLC, a global alternative asset management firm with offices in New York, London, Hong Kong, Mumbai and Beijing. Prior to founding Och-Ziff in 1994, Mr. Och spent 11 years at Goldman, Sachs & Co.

At Penn, Mr. Och is a member of the Wharton Undergraduate Executive Board and he has served as a member of the School of Arts & Sciences New York Dean's Council. He has been a member of the Class of 1982 Gift Committee for the past five years. He is a member of the Academy of the University of Pennsylvania, the Society of Arts & Sciences, and the Penn Club of New York, and serves as a mentor in the Jewish Heritage Program. Mr. Och's support for Penn includes the Daniel S. Och Professorship, which created a University professorship as part of the Penn Integrates Knowledge initiative.

Mr. Och and his wife Jane are proud Penn parents of Josh Och (ENG'13, W'13), Alison Och (W'15), and Andrew Och (W'17).
Resolution of Appreciation for Rebecca Bushnell

Rebecca Bushnell, Dean of the School of Arts and Sciences from 2005 to 2013, has been one of the most instrumental figures in shaping the contemporary School and assuring its vibrant future. In her extraordinary tenure as Dean – and as Associate Dean of the School and Dean of the College for the seven prior years – she has advanced not only the School's core academic mission but also its financial foundations and physical footprint, ensuring that the School's work will thrive for generations to come.

A renowned scholar who has taught at Penn for more than 30 years, a recipient of the Lindback Award for Distinguished Teaching, and the newly elected President of the Shakespeare Association of America, Rebecca Bushnell has been a champion of the School's faculty and an energetic advocate of its diversification. She has enhanced curricular opportunities for students at every level, from the general education requirement in the College of Arts and Sciences, dedicated to the principle that Penn undergraduates should experience a range of fields before selecting a major, to the expansion of the College of Liberal and Professional Studies, especially as a pioneer in online education.

Dean Bushnell has been an essential partner in integrating knowledge across campus. Twelve of Penn's 15 Penn Integrates Knowledge professors have joint appointments in the School of Arts and Sciences, and the School has been a leader in creating interdisciplinary programs and partnerships for the benefit of the entire Penn community, including the development of the Center for the Study of Contemporary China, the Integrated Studies Program, and the Kim Program in Korean Studies; the establishment of the Department of Africana Studies; and the collaboration with the School of Engineering and Applied Science to launch the Vagelos Integrated Program in Energy Research.

She has been equally committed to enhancing the School's physical presence. SAS exceeded its ambitious $500 million goal for the Making History campaign, providing a sound financial footing for the future. The legacy of this success will enrich the university for decades ahead, from the striking new Music Building and Singh Center for Nanotechnology, to the Neural-Behavioral Sciences Building that broke ground in April, to the recently announced Perelman Center for Political Science and Economics. These buildings will forge a visible legacy to Dean Bushnell's inspiring leadership of one of Penn's most vital Schools.

RESOLVED, that the Trustees of the University of Pennsylvania, on behalf of themselves and the administration, faculty, students, and alumni of the University, convey their gratitude to Rebecca Bushnell for her leadership of the School of Arts and Sciences from 2005 to 2013. One of Penn's great citizens, she will now return to the full-time teaching and scholarship that she loves. We look forward to her involvement in the Penn community for many years to come.
Resolution to Appoint Steven J. Fluharty as Dean of the School of Arts and Sciences

RESOLVED, that Steven J. Fluharty be appointed Dean of the School of Arts and Sciences, effective July 1, 2013.

Dr. Fluharty is currently Senior Vice Provost for Research in the Office of the Provost, and Professor of Pharmacology in the School of Veterinary Medicine, Professor of Psychology in the School of Arts and Sciences, and Professor of Neuroscience in the Perelman School of Medicine at the University of Pennsylvania. He began his career at Penn as a student and University Scholar, earning a BA summa cum laude and MA in Psychology in 1979, followed by a Ph.D. in Psychology in 1981. He has been a member of the Penn faculty since 1986 and served as Director of the pioneering Biological Basis of Behavior program from 1994 to 2005, when he was appointed Associate Vice Provost for Research. He became Vice Provost for Research in 2006 and then Senior Vice Provost for Research in 2010.

As Senior Vice Provost for Research, Dr. Fluharty has been the campus-wide leader of Penn's research enterprise, as well as a forceful advocate for the mission of university research to generate new ideas that benefit the public and create economic opportunity. He has guided innovative collaborations and centers that integrate knowledge across Penn's 12 Schools; directed the University's vital strategic partnerships with industry; and energetically represented Penn to local, regional, and national constituencies, including frequent testimony on Capitol Hill.

Dr. Fluharty is the recipient of numerous major awards, research grants, and teaching honors, including the Beecham Award for Research Excellence, the Flexner Prize in Neuroscience, and designation as an Astra Merck Scholar by the American Heart Association.
Resolution on Faculty Appointments, Leaves and Promotions

GRADUATE SCHOOL OF EDUCATION

ASSOCIATED FACULTY

Newly Retired

Retirement of Dr. James H. Lytle, Practice Professor of Education in the Associated Faculty of the Graduate School of Education, effective June 30, 2013.

LAW SCHOOL

STANDING FACULTY

Promotion

Promotion of Dr. David S. Abrams to Professor of Law in the Standing Faculty of the Law School effective July 1, 2013 (full salary; with tenure).

Leave of Absence

Scholarly leave of absence for Dr. Anita L. Allen-Castellitto, The Henry R. Silverman Professor of Law in the Standing Faculty of the Law School, for the period July 1, 2013 to December 31, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [12.0 credits will be accrued; 12.0 credits will be used].

Scholarly leave of absence for Dr. Stephanos Bibas, Professor of Law in the Standing Faculty of the Law School, for the period January 1, 2014 to June 30, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [12.0 credits will be accrued; 12.0 credits will be used].

Leave of absence for employment elsewhere for Dr. William Burke-White, Professor of Law in the Standing Faculty of the Law School, for the period July 1, 2013 to December 31, 2013, with up to full salary, (100.0% from Harvard Law School), full benefits continued. [12.0 credits will be accrued; 0.0 credits will be used].

Scholarly leave of absence for Dr. Claire Oakes Finkelstein, Algernon Biddle Professor of Law in the Standing Faculty of the Law School, for the period January 1, 2014 to June 30, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [12.0 credits will be accrued; 12.0 credits will be used].

Leave of absence for employment elsewhere for Mr. Jonathan Klick, Professor of Law in the Standing Faculty of the Law School, for the period July 1, 2013 to December 31, 2013, with up to full salary, (100.0% from Yale Law School), full benefits continued. [10.0 credits will be accrued; 0.0 credits will be used].

Leave of absence for employment elsewhere for Mr. David A. Skeel Jr., The S. Samuel Arsht Chair in Corporate Law in the Standing Faculty of the Law School, for the period July 1, 2013 to
December 31, 2013, with up to full salary, (100.0% from NYU Law School), full benefits continued. [13.0 credits will be accrued; 0.0 credits will be used].

Scholarly leave of absence for Mr. David A. Skeel Jr., The S. Samuel Arsht Chair in Corporate Law in the Standing Faculty of the Law School, for the period January 1, 2014 to June 30, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [13.0 credits will be accrued; 12.0 credits will be used].

Scholarly leave of absence for Ms. Catherine T. Struve, Professor of Law in the Standing Faculty of the Law School, for the period July 1, 2013 to December 31, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [24.0 credits will be accrued; 12.0 credits will be used].

Scholarly leave of absence for Mr. Christopher S. Yoo, John H. Chestnut Professorship in the Standing Faculty of the Law School, for the period January 1, 2014 to June 30, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [13.0 credits will be accrued; 12.0 credits will be used].

SCHOOL OF ARTS AND SCIENCES

STANDING FACULTY

Chair Designation

Designation of Dr. Steven J. Fluharty, as the Thomas S. Gates, Jr. Professor of Psychology, Pharmacology, and Neuroscience effective July 1, 2013 and coterminous with his term as Dean of the School of Arts and Sciences.

Promotion

Promotion of Dr. Angela Lee Duckworth to Associate Professor of Psychology in the Standing Faculty of the School of Arts and Sciences effective July 1, 2013 (full salary; with tenure).

Promotion of Dr. Paul D. Sniegowski to Professor of Biology in the Standing Faculty of the School of Arts and Sciences effective July 1, 2013 (full salary; has tenure).

Promotion of Dr. Doris Wagner to Professor of Biology in the Standing Faculty of the School of Arts and Sciences effective July 1, 2013 (full salary; has tenure).

SCHOOL OF ENGINEERING AND APPLIED SCIENCE

STANDING FACULTY

Promotion

Promotion of Dr. Jason A. Burdick to Professor of Bioengineering in the Standing Faculty of the School of Engineering and Applied Science effective July 1, 2013 (full salary; with tenure).
Promotion of Dr. Daeyeon Lee to Associate Professor of Chemical and Biomolecular Engineering in the Standing Faculty of the School of Engineering and Applied Science effective July 1, 2013 (full salary; with tenure).

Promotion of Dr. Camillo Jose Taylor to Professor of Computer and Information Science in the Standing Faculty of the School of Engineering and Applied Science effective July 1, 2013 (full salary; has tenure).

Leave of Absence

Scholarly leave of absence for Dr. Susan B. Davidson, Professor of Computer and Information Science in the Standing Faculty of the School of Engineering and Applied Science, for the period September 1, 2013 to May 31, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [24.0 credits will be accrued; 24.0 credits will be used].

ASSOCIATED FACULTY

Reappointment

Reappointment of Dr. Robert L. Jeffcoat as Adjunct Professor of Mechanical Engineering and Applied Mechanics in the Associated Faculty of the School of Engineering and Applied Science for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

SCHOOL OF SOCIAL POLICY & PRACTICE

STANDING FACULTY

Promotion

Promotion of Dr. Toorjo Ghose to Associate Professor of Social Policy & Practice in the Standing Faculty of the School of Social Policy and Practice effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2007). Mandatory tenure review is academic year 2012-13.

Extension

Extension to the approved reappointment and tenure probationary period for Dr. Andrea M. Doyle as Assistant Professor of Social Policy & Practice in the Standing Faculty of the School of Social Policy and Practice for one year effective July 1, 2016 (full salary; tenure probationary period commenced July 1, 2008) and was previously adjusted pursuant to paragraph A.2 of the policy on extension of tenure probationary period, March 18, 1997, or as amended. Adjusted mandatory tenure review is academic year 2015-16. [This extension is granted pursuant to paragraph A.3 of the policy on extension of tenure probationary period, March 18, 1997 or as amended.]

Leave of Absence

Scholarly leave of absence for Dr. Susan Sorenson, Professor of Social Policy & Practice in the Standing Faculty of the School of Social Policy and Practice, for the period July 1, 2013 to December
ASSOCIATED FACULTY

Reappointment

Reappointment of Dr. Jeffrey S. Applegate as Adjunct Professor of Social Policy & Practice in the Associated Faculty of the School of Social Policy and Practice for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Leave of Absence

Scholarly leave of absence for Dr. Aileen B. Rothbard, Research Professor of Social Policy & Practice in the Associated Faculty of the School of Social Policy and Practice, for the period July 1, 2013 to December 1, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [24.0 credits will be accrued; 12.0 credits will be used].

WHARTON SCHOOL

STANDING FACULTY

Promotion

Promotion of Dr. Jonah A. Berger to Associate Professor of Marketing in the Standing Faculty of the Wharton School effective July 1, 2013 (full salary; with tenure).

Promotion of Dr. Abraham J. Wyner to Professor of Statistics in the Standing Faculty of the Wharton School effective July 1, 2013 (full salary; has tenure).

Reappointment

Reappointment of Dr. Karthik Balakrishnan as Assistant Professor of Accounting in the Standing Faculty of the Wharton School for three years effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.

Reappointment of Dr. Todd A. Gormley as Assistant Professor of Finance in the Standing Faculty of the Wharton School for three years effective July 1, 2014 (full salary; tenure probationary period commenced July 1, 2009 and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended). Adjusted mandatory tenure review is academic year 2016-17.

Reappointment of Dr. Daniel Gottlieb as Assistant Professor of Business Economics and Public Policy in the Standing Faculty of the Wharton School for three years effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.
Terminal reappointment of Dr. Waheed Hussain as Assistant Professor of Legal Studies & Business Ethics in the Standing Faculty of the Wharton School for one year effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2006).

Reappointment of Dr. Mark Jenkins as Assistant Professor of Finance in the Standing Faculty of the Wharton School for three years effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.

Reappointment of Dr. Zongming Ma as Assistant Professor of Statistics in the Standing Faculty of the Wharton School for three years effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.

Terminal reappointment of Andrea M. Matwyshyn as Assistant Professor of Legal Studies & Business Ethics in the Standing Faculty of the Wharton School for one year effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2007).

Reappointment of Dr. Stephanie A. Sikes as Assistant Professor of Accounting in the Standing Faculty of the Wharton School for three years effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.

Reappointment of Dr. Holly Yang as Assistant Professor of Accounting in the Standing Faculty of the Wharton School for three years effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.

Extension

Extension to the approved reappointment and tenure probationary period for Dr. Santosh Anagol as Assistant Professor of Business Economics and Public Policy in the Standing Faculty of the Wharton School for one year effective July 1, 2015 (full salary; tenure probationary period commenced July 1, 2009). Adjusted mandatory tenure review is academic year 2015-16. [This extension is granted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended.]

Extension to the approved appointment and tenure probationary period for Dr. Shing-Yi Wang as Assistant Professor of Business Economics and Public Policy in the Standing Faculty of the Wharton School for one year effective July 1, 2015 (full salary; tenure probationary period commenced July 1, 2012). Adjusted mandatory tenure review is academic year 2018-19. [This extension is granted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended.]

Leave of Absence

Scholarly leave of absence for Dr. Marshall W. Meyer, Tsai Wan-Tsai Professor in the Standing Faculty of the Wharton School, for the period January 1, 2014 to June 30, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [12.8 credits will be accrued; 12.0 credits will be used]. This leave of absence is in anticipation of retirement.

Scholarly leave of absence for Dr. Mark V. Pauly, Professor of Health Care Management in the Standing Faculty of the Wharton School, for the period September 1, 2013 to December 31, 2013,
with up to full salary, (100.0% from the leave budget), full benefits continued. [20.0 credits will be accrued; 8.0 credits will be used].

**Scholarly leave of absence for Dr. Jitendra V. Singh**, Professor of Management in the Standing Faculty of the Wharton School, for the period July 1, 2013 to December 31, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [12.0 credits will be accrued; 12.0 credits will be used].

**Scholarly leave of absence for Kevin Werbach**, Associate Professor of Legal Studies & Business Ethics in the Standing Faculty of the Wharton School, for the period July 1, 2013 to December 31, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [18.0 credits will be accrued; 12.0 credits will be used].

**Reduction in Duties**

**Reduction in duties by 20% for Dr. Howard Kunreuther**, James G. Dinan Professor in the Standing Faculty of the Wharton School for the period July 1, 2013 to June 30, 2019, with a proportional reduction in salary and those benefits which are salary-based. [This reduction is in anticipation of retirement.]

**ASSOCIATED FACULTY**

**Promotion**

**Promotion and reappointment of Dr. David Wessels** as Adjunct Associate Professor of Finance in the Associated Faculty of the Wharton School for the period July 1, 2013 to June 30, 2016 or for the duration of his administrative appointment, whichever is the shorter period of time (assigned duties will determine partial salary; not in tenure probationary status in this appointment).

**Reappointment**

**Reappointment of Dr. James J. Angel** as Visiting Associate Professor of Finance in the Associated Faculty of the Wharton School for the period June 1, 2013 to August 31, 2014 (full salary with life and health insurance benefits; not in tenure probationary status in this appointment).

**Reappointment of Dr. Roxana Barbulescu** as Visiting Assistant Professor of Management in the Associated Faculty of the Wharton School for one year effective July 1, 2013 (full salary with life and health insurance benefits; not in tenure probationary status in this appointment).

**Reappointment of Dr. Sam Chandan** as Adjunct Assistant Professor of Real Estate in the Associated Faculty of the Wharton School for one year effective July 1, 2013 (assigned duties will determine partial salary; not in tenure probationary status in this appointment).

**Reappointment of Mr. Nicholas D. Constan** as Adjunct Professor of Legal Studies & Business Ethics in the Associated Faculty of the Wharton School for three years effective July 1, 2013 (assigned duties will determine partial salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Joan Lamm-Tennant** as Adjunct Professor of Business Economics and Public Policy in the Associated Faculty of the Wharton School for one year effective July 1, 2013 (assigned duties will determine partial salary; not in tenure probationary status in this appointment).
Reappointment of Dr. Anthony Landry as Visiting Assistant Professor of Finance in the Associated Faculty of the Wharton School for the period May 16, 2013 to June 30, 2014 (full salary with life and health insurance benefits; not in tenure probationary status in this appointment).

Reappointment of Dr. Loretta J. Mester as Adjunct Professor of Finance in the Associated Faculty of the Wharton School for three years effective July 1, 2013 (assigned duties will determine partial salary; not in tenure probationary status in this appointment).

SCHOOL OF DENTAL MEDICINE

STANDING FACULTY

Chair Designation

Designation of Dr. Anh Le, Professor of Oral Surgery and Pharmacology in the Standing Faculty of the School of Dental Medicine, as the Norman Vine Endowed Professor for Oral Rehabilitation effective July 1, 2012.

ASSOCIATED FACULTY

Reappointment

Reappointment of Dr. Louay Abrass as Adjunct Assistant Professor of Endodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Jeffrey Asao as Clinical Assistant Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Robert M. Blitzer as Clinical Associate Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Herbert Brilliant as Clinical Associate Professor of Periodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. I. Stephen Brown as Clinical Professor of Periodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Ernest Dellheim as Clinical Associate Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Leslie Stone Hirsh as Clinical Assistant Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).
Reappointment of Dr. Stephen Hudis as Clinical Associate Professor of Periodontics in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Eileen K. Jaffe as Adjunct Professor of Biochemistry in the Associated Faculty of the School of Dental Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Kenneth Kent as Clinical Associate Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Eunah Koh as Clinical Assistant Professor of Endodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Louis Robert Marion as Clinical Assistant Professor of Periodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Eduardo Minsk as Clinical Associate Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Alan Morris as Clinical Assistant Professor of Periodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Alan Rauch as Clinical Assistant Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Louis F Rose as Clinical Professor of Periodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Tara Sexton as Clinical Assistant Professor of Preventive and Restorative Sciences in the Associated Faculty of the School of Dental Medicine for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Mark Bennett Snyder as Clinical Associate Professor of Periodontics in the Associated Faculty of the School of Dental Medicine for one year effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

PERELMAN SCHOOL OF MEDICINE

STANDING FACULTY

Chair Designation
**Designation of Dr. Ebbing Lautenbach**, Professor of Medicine in the Standing Faculty of the Perelman School of Medicine, as the Robert Austrian Professor effective May 1, 2013 to June 30, 2023.

**Designation of Dr. Bruce L. Levine**, Associate Professor of Pathology & Laboratory Medicine at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, as the Barbara and Edward Netter Associate Professor in Cancer Gene Therapy effective May 1, 2013 to June 30, 2023.

**Promotion**

**Promotion of Dr. Joseph S. Friedberg** to Professor of Surgery at the Penn Presbyterian Medical Center of Philadelphia and the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine effective July 1, 2013 (full salary; non-tenure; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended).

**Promotion of Dr. Joshua R. Friedman** to Associate Professor of Pediatrics in the Standing Faculty of the Perelman School of Medicine effective July 1, 2013 (full salary; with tenure).

**Promotion of Dr. Carrie L. Kovarik** to Associate Professor of Dermatology at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine effective July 1, 2013 (full salary; non-tenure; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended).

**Promotion of Dr. Paula M. Oliver** to Associate Professor of Pathology & Laboratory Medicine in the Standing Faculty of the Perelman School of Medicine effective July 1, 2013 (full salary; with tenure).

**Promotion of Dr. James Shorter** to Associate Professor of Biochemistry and Biophysics in the Standing Faculty of the Perelman School of Medicine effective July 1, 2013 (full salary; with tenure).

**Promotion of Dr. Andrei Thomas-Tikhonenko** to Professor of Pathology & Laboratory Medicine in the Standing Faculty of the Perelman School of Medicine effective July 1, 2013 (full salary; has tenure).

**Reappointment and Change in Title and Tenure Status**

**Reappointment and change in title and tenure status for Dr. David T. Teachey** from Assistant Professor (in tenure probation) to Assistant Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2007. Clinician-educator review is academic year 2015-16.

**Reappointment**

**Reappointment of Dr. Karin Borgmann-Winter** as Assistant Professor of Psychiatry at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman
School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2006 and was previously adjusted pursuant to paragraph A.2 of the policy on extension of tenure probationary period, March 18, 1997 or as amended. **Adjusted** clinician-educator review is academic year 2015-16.

**Reappointment of Dr. Vatinee Y. Bunya** as Assistant Professor of Ophthalmology at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2006 and was previously adjusted pursuant to paragraph A.2 of the policy on extension of tenure probationary period, March 18, 1997 or as amended. **Adjusted** clinician-educator review is academic year 2015-16.

**Reappointment of Dr. Julio A. Chirinos** as Assistant Professor of Medicine at the Veteran's Affairs Medical Center and the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2007. Clinician-educator review is academic year 2015-16.

**Reappointment of Dr. Andrew C. Glatz** as Assistant Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2010. Clinician-educator review is academic year 2018-19.

**Reappointment of Dr. David J. Goldberg** as Assistant Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2010. Clinician-educator review is academic year 2018-19.

**Reappointment of Dr. Monisha Kumar** as Assistant Professor of Neurology at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2010. Clinician-educator review is academic year 2018-19.

**Reappointment of Dr. Bonnie Ky** as Assistant Professor of Medicine at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in *Guidelines for Appointments and Promotions*, January 8, 1985 or as amended). This
Reappointment of Dr. Jongho Lee as Assistant Professor of Radiology in the Standing Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.

Reappointment of Dr. Falk W. Lohoff as Assistant Professor of Psychiatry in the Standing Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; ten-year clinical tenure probationary period commenced July 1, 2007). Mandatory tenure review is academic year 2015-16.

Reappointment of Dr. Sheela N. Magge as Assistant Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2007. Clinician-educator review is academic year 2015-16.

Reappointment of Dr. Raina M. Merchant as Assistant Professor of Emergency Medicine in the Standing Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; ten-year clinical tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2018-19.

Reappointment of Dr. Giang T. Nguyen as Assistant Professor of Family Medicine and Community Health at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2007. Clinician-educator review is academic year 2015-16.

Reappointment of Dr. Deirdre Sawinski as Assistant Professor of Medicine at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2010. Clinician-educator review is academic year 2018-19.

Reappointment of Dr. Courtney A. Schreiber as Assistant Professor of Obstetrics and Gynecology at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2006 and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended. Adjusted clinician-educator review is academic year 2015-16.

Reappointment of Dr. Joanne N. Wood as Assistant Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of
Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2010. Clinician-educator review is academic year 2018-19.

**Secondary Appointment**

**Secondary appointment for Dr. Jun James Mao**, Associate Professor of Family Medicine and Community Health at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, as Associate Professor of Epidemiology in Biostatistics and Epidemiology in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for the period July 1, 2013 to June 30, 2016 with voting rights.

**Secondary appointment for Dr. Amy T. Waldman**, Assistant Professor of Neurology at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, as Assistant Professor of Neurology in Pediatrics in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for the period January 1, 2013 to June 30, 2016 with voting rights.

**Extension**

**Extension to the approved appointment and clinician-educator probationary period for Dr. Anna M. Buchner** as Assistant Professor of Medicine at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for one year effective July 1, 2014 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended. This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2011). Adjusted clinician-educator review is academic year 2020-21. [This extension is granted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended.]

**Extension to the approved reappointment and clinician-educator probationary period for Dr. Andrew S. Resnick** as Assistant Professor of Surgery at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for one year effective July 1, 2014 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended. This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2007 and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997, or as amended). Adjusted clinician-educator review is academic year 2017-18. [This extension is granted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended.]

**Extension to the approved reappointment and clinician-educator probationary period for Dr. Ariana L. Smith** as Assistant Professor of Surgery at the Pennsylvania Hospital and the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for one year effective July 1, 2016 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended. This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2009 and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997, or as amended). Adjusted clinician-educator review is academic year 2019-
Continuation of Secondary Appointment

Continuation of secondary appointment for Dr. Bonnie Ky, Assistant Professor of Medicine at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, as Assistant Professor of Epidemiology in Biostatistics and Epidemiology in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period July 1, 2013 to June 30, 2016 with voting rights.

Leave of Absence

Continuation of scholarly leave of absence for Dr. Rita J. Balice-Gordon, Professor of Neuroscience in the Standing Faculty of the Perelman School of Medicine, for the period February 1, 2013 to July 1, 2013, partial salary, (10.0% from grants), appropriate benefits continued. [16.0 credits will be accrued; 0.0 credits will be used]. [Refer to PSCS of January 27, 2012]

Scholarly leave of absence for Dr. Judy C. Bernbaum, Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period July 1, 2013 to June 30, 2014, with up to full salary, (20.0% from the leave budget, 68.0% from the department, 12.0% from grants), full benefits continued. [24.0 credits will be accrued; 8.0 credits will be used].

Scholarly leave of absence for Dr. Wade H. Berrettini, Professor of Psychiatry in the Standing Faculty of the Perelman School of Medicine, for the period January 1, 2013 to June 30, 2013, with up to full salary, (40.0% from the leave budget, 60.0% from grants), full benefits continued. [15.0 credits will be accrued; 3.0 credits will be used].

Change in previously approved minute - Scholarly leave of absence for Dr. Wade H. Berrettini, Professor of Psychiatry in the Standing Faculty of the Perelman School of Medicine, for the period January 1, 2013 to June 30, 2013, with up to full salary, (50.0% from the leave budget, 50.0% from grants), full benefits continued. [18.0 credits will be accrued; 7.0 credits will be used]. IS CHANGED TO: Scholarly leave of absence for Dr. Wade H. Berrettini, Professor of Psychiatry in the Standing Faculty of the Perelman School of Medicine, for the period January 1, 2013 to March 31, 2013, with up to full salary, (50.0% from the leave budget, 50.0% from grants), full benefits continued. [18.0 credits will be accrued; 3.0 credits will be used].

Continuation of scholarly leave of absence for Dr. Lawrence W. Brown, Associate Professor of Neurology at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period July 1, 2013 to June 30, 2014, with up to full salary, (20.0% from the leave budget, 70.0% from the department, 10.0% from grants), full benefits continued. [10.0 credits will be accrued; 9.0 credits will be used]. [Refer to PSCS of September 14, 2012]

Change in the effective date - Compressed Scholarly leave of absence for Dr. Meryl S. Cohen, Associate Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period July 1, 2013 to December 31, 2013, with up to full salary, (94.0% from the leave budget, 6.0% from grants), full benefits
continued. [24.0 credits will be accrued; 24.0 credits will be used]. IS CHANGED TO:

**Compressed Scholarly leave of absence for Dr. Meryl S. Cohen**, Associate Professor of Pediatrics at the Children's Hospital of Philadelphia in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period August 1, 2013 to December 31, 2013, with up to full salary, (94.0% from the leave budget, 6.0% from grants), full benefits continued. [24.0 credits will be accrued; 24.0 credits will be used]. [Refer to PSCS of March 15, 2013]

**Compressed Scholarly leave of absence for Dr. James C. Coyne**, Professor of Psychology in Psychiatry in the Standing Faculty of the Perelman School of Medicine, for the period April 15, 2013 to May 31, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [6.0 credits will be accrued; 6.0 credits will be used]. This leave of absence is in anticipation of retirement.

**Compressed Scholarly leave of absence for Dr. Clifford S. Deutschman**, Professor of Anesthesiology and Critical Care in the Standing Faculty of the Perelman School of Medicine, for the period August 1, 2013 to December 31, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [24.0 credits will be accrued; 24.0 credits will be used].

**Scholarly leave of absence for Dr. Jonas H. Ellenberg**, Professor of Biostatistics in Biostatistics and Epidemiology at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period April 1, 2014 to December 31, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [18.0 credits will be accrued; 18.0 credits will be used]. This leave of absence is in anticipation of retirement.

**Continuation of scholarly leave of absence for Dr. Juan E. Grunwald**, Professor of Ophthalmology at the Penn Presbyterian Medical Center of Philadelphia and the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period July 1, 2013 to June 30, 2014, with up to full salary, (25.0% from the leave budget, 58.8% from the department, 16.2% from grants), full benefits continued. [18.0 credits will be accrued; 6.0 credits will be used]. [Refer to PSCS of June 8, 2012]

**Compressed Scholarly leave of absence for Dr. William Ming-Fu Lee**, Associate Professor of Medicine in the Standing Faculty of the Perelman School of Medicine, for the period November 1, 2013 to June 30, 2014, with up to full salary, (96.0% from the leave budget, 4.0% from grants), full benefits continued. [24.0 credits will be accrued; 24.0 credits will be used]. This leave of absence is in anticipation of retirement.

**Scholarly leave of absence for Dr. A. Russell Localio**, Associate Professor of Biostatistics in Biostatistics and Epidemiology at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period July 8, 2013 to July 7, 2014, with up to full salary, (60.0% from the leave budget, 20.0% from grants, 15.0% from the department, 5.0% from other), full benefits continued. [24.0 credits will be accrued; 10.0 credits will be used].

**Compressed Scholarly leave of absence for Dr. Selina M. Luger**, Professor of Medicine at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period September 1, 2013 to February 15, 2014, with up to full salary, (80.0% from the leave budget, 20.0% from grants), full benefits continued. [24.0 credits will be accrued; 24.0 credits will be used].
Scholarly leave of absence for Dr. Katherine L. Margo, Associate Professor of Family Medicine and Community Health at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine, for the period July 1, 2013 to June 30, 2014, with up to full salary, (25.0% from the leave budget, 70.0% from the department, 5.0% from grants), full benefits continued. [24.0 credits will be accrued; 2.0 credits will be used].

Scholarly leave of absence for Dr. Kathryn H. Schmitz, Associate Professor of Epidemiology in Biostatistics and Epidemiology in the Standing Faculty of the Perelman School of Medicine, for the period July 1, 2013 to June 30, 2014, with up to full salary, (50.0% from the leave budget, 40.0% from grants, 10.0% from the department), full benefits continued. [16.0 credits will be accrued; 12.0 credits will be used].

Change in previously approved minute - Scholarly leave of absence for Dr. Stephen R. Thom, Professor of Emergency Medicine in the Standing Faculty of the Perelman School of Medicine, for the period September 1, 2012 to August 31, 2013, with up to full salary, (19.0% from the leave budget, 71.0% from grants, 10.0% from other), full benefits continued. [24.0 credits will be accrued; 10.0 credits will be used]. IS CHANGED TO: Scholarly leave of absence for Dr. Stephen R. Thom, Professor of Emergency Medicine in the Standing Faculty of the Perelman School of Medicine, for the period September 1, 2012 to June 30, 2013, with up to full salary, (19.0% from the leave budget, 71.0% from grants, 10.0% from other), full benefits continued. [24.0 credits will be accrued; 8.0 credits will be used]. This leave of absence is in anticipation of retirement.

Reduction in Duties

Reduction in duties by 25% for Dr. Nicole A. Aqui, Assistant Professor of Pathology & Laboratory Medicine at the Hospital of the University of Pennsylvania in the Standing Faculty--Clinician-Educator of the Perelman School of Medicine for the period July 1, 2013 to June 30, 2014, with a proportional reduction in salary and those benefits which are salary-based. [This reduction is for child care.]

ASSOCIATED FACULTY

Appointment

Appointment of Dr. Carmen D. Campanelli, Jr. as Clinical Assistant Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for the period March 1, 2013 to June 30, 2016 (without salary; not in tenure probationary status in this appointment).

Promotion

Promotion and reappointment of Dr. Marc Auriacombe as Adjunct Associate Professor of Psychiatry in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Promotion of Dr. Hema P. Bhargava to Clinical Professor of Pediatrics in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (with salary; not in tenure probationary status in this appointment).
Promotion of Dr. Robert K. Cato to Professor of Clinical Medicine in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Promotion and reappointment of Dr. Kolin Hoff as Associate Professor of Clinical Medicine in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Promotion of Dr. Frances M. Nadel to Clinical Professor of Pediatrics in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment

Reappointment of Dr. Subha L. Airan-Javia as Assistant Professor of Clinical Medicine in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Naomi J. Balamuth as Assistant Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Emily J. Baird as Assistant Professor of Clinical Anesthesiology and Critical Care in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Vaneeta Bamba as Assistant Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Rebecca A. Barnett as Assistant Professor of Clinical Anesthesiology and Critical Care in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. John P. Bernardi as Assistant Professor of Clinical Anesthesiology and Critical Care in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Robert B. Carrigan as Assistant Professor of Clinical Orthopaedic Surgery in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Stanley Cohen as Adjunct Professor of Pathology & Laboratory Medicine in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Seana-Patrice Covello as Clinical Assistant Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).
Reappointment of Dr. Alexander Davidson as Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Steven S. Fakharzadeh as Clinical Associate Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Giovanni Ferrari as Research Assistant Professor of Surgery in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 or for the duration of the grant or contract which supports his work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; non-tenure probationary period commenced July 1, 2009) and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended). Mandatory review is academic year 2018-19.

Reappointment of Dr. Kristin N. Fiorino as Assistant Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Dale M. Frank as Assistant Professor of Clinical Pathology & Laboratory Medicine in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Laurence J. Gavin as Professor of Clinical Emergency Medicine in the Associated Faculty of the Perelman School of Medicine for the period of July 1, 2013 to March 31, 2017 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Jonathan D. Gomberg as Assistant Professor of Clinical Medicine in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Matthew Grady as Assistant Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Ruth L. Greenberg as Clinical Assistant Professor of Psychology in Psychiatry in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Paul R. Gross as Clinical Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Debra J. Grossman as Clinical Assistant Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).
**Reappointment of Dr. Alexa N. Hogarty** as Clinical Associate Professor of Pediatrics in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Sally B. Holtz** as Clinical Assistant Professor of Psychology in Psychiatry in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. B. David Horn** as Assistant Professor of Clinical Orthopaedic Surgery in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Luv Ram Javia** as Assistant Professor of Clinical Otorhinolaryngology: Head and Neck Surgery in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Maren E. Jeffery** as Assistant Professor of Clinical Medicine in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Stephen J. Kadlec** as Research Assistant Professor of Radiology in the Associated Faculty of the Perelman School of Medicine, for three years effective July 1, 2013 or for the duration of the grant or contract which supports his work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; non-tenure probationary period commenced 2007). Mandatory review is the academic year 2015-16.

**Reappointment of Dr. Michael Kalos** as Adjunct Associate Professor of Pathology & Laboratory Medicine in the Associated Faculty of the Perelman School of Medicine for the period July 1, 2013 to June 30, 2018 or for the duration of his administrative appointment, whichever is the shorter period of time (without salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Marc S. Keller** as Professor of Clinical Radiology in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Caroline S. Kim** as Assistant Professor of Clinical Medicine in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. John J. Laskas Jr.** as Clinical Associate Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

**Reappointment of Dr. Dian Lau** as Assistant Professor of Clinical Anesthesiology and Critical Care in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).
Reappointment of Dr. Richard F. Limoges as Clinical Associate Professor of Psychiatry in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Francis Joseph Lopez as Assistant Professor of Clinical Physical Medicine and Rehabilitation in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. John J. O'Shea as Adjunct Professor of Pathology & Laboratory Medicine in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Charles F. Orellana as Clinical Assistant Professor of Pathology & Laboratory Medicine in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2012 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Richard Prus-Wisniewski as Clinical Assistant Professor of Psychiatry in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Catherine M. Quirk as Clinical Assistant Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (with salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Hengyi Rao as Research Assistant Professor of Neurology in the Associated Faculty of the Perelman School of Medicine, for three years effective July 1, 2013 or for the duration of the grant or contract which supports his work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; non-tenure probationary period commenced July 1, 2010). Mandatory review is the academic year 2018-19.

Reappointment of Dr. Teresa M. Reyes as Research Assistant Professor of Pharmacology in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 or for the duration of the grant or contract which supports her work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; non-tenure probationary period commenced July 1, 2006) and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended). Mandatory review is academic year 2015-16.

Reappointment of Dr. Jeanine C. Ronan as Assistant Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Michelle Rook as Assistant Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Norman M. Schechter as Research Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine, for five years effective July 1, 2013 or for
the duration of the grant or contract which supports his work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; not in tenure probationary status in this appointment).

Reappointment of Dr. Carsten C. Skarke as Research Assistant Professor of Medicine in the Associated Faculty of the Perelman School of Medicine, for three years effective July 1, 2013 contingent on appropriate visa or for the duration of the grant or contract which supports his work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; non-tenure probationary period commenced July 1, 2010). Mandatory review is the academic year 2018-19.

Reappointment of Dr. Robert G. Smith as Research Associate Professor of Neuroscience in the Associated Faculty of the Perelman School of Medicine, for five years effective July 1, 2013 or for the duration of the grant or contract which supports his work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; not in tenure probationary status in this appointment).

Terminal reappointment of Dr. Stanley J. Stachelek as Research Assistant Professor of Pediatrics in the Associated Faculty of the Perelman School of Medicine for one year effective July 1, 2014 or for the duration of the grant or contract which supports his work, whichever is the shorter period of time (full salary, without obligation on the part of the University to continue salary and benefits beyond termination of these research funds; non-tenure probationary period commenced July 1, 2005).

Reappointment of Dr. Elizabeth M. Spiers as Clinical Associate Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Donna J. Stephenson as Assistant Professor of Clinical Neurology in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Sue-Anne Toh as Adjunct Assistant Professor of Medicine in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Constance M. Westhoff as Adjunct Assistant Professor of Pathology & Laboratory Medicine in the Associated Faculty of the Perelman School of Medicine for five years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Kendal P. Williams as Assistant Professor of Clinical Medicine in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (full salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Toby Frank Zachian as Clinical Assistant Professor of Dermatology in the Associated Faculty of the Perelman School of Medicine for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Leave of Absence
Scholarly leave of absence for Dr. William M. Armstead, Research Professor of Anesthesiology and Critical Care in the Associated Faculty of the Perelman School of Medicine, for the period July 1, 2013 to June 30, 2014, with up to full salary, (95.0% from the leave budget, 5.0% from grants), full benefits continued. [24.0 credits will be accrued; 23.0 credits will be used].

Scholarly leave of absence for Dr. Sheryl G. Beck, Research Associate Professor of Anesthesiology and Critical Care in the Associated Faculty of the Perelman School of Medicine, for the period January 1, 2014 to June 30, 2014, with up to full salary, (90.0% from the leave budget, 10.0% from grants), full benefits continued. [12.0 credits will be accrued; 11.0 credits will be used].

Scholarly leave of absence for Dr. Sheryl G. Beck, Research Associate Professor of Anesthesiology and Critical Care in the Associated Faculty of the Perelman School of Medicine, for the period July 1, 2013 to December 31, 2013, with up to full salary, (80.0% from the leave budget, 20.0% from grants), full benefits continued. [22.0 credits will be accrued; 10.0 credits will be used].

Reduction in Duties

Reduction in duties by 50% for Dr. Laurence J. Gavin, Professor of Clinical Emergency Medicine in the Associated Faculty of the Perelman School of Medicine for the period April 1, 2013 to March 31, 2014, with a proportional reduction in salary and those benefits which are salary-based. [This reduction is in anticipation of retirement.]

Reduction in duties by 40% for Dr. Shirley H. Huang, Assistant Professor of Clinical Pediatrics in the Associated Faculty of the Perelman School of Medicine for the period July 1, 2013 to June 30, 2014, with a proportional reduction in salary and those benefits which are salary-based. [This reduction is for child care.]

EMERITUS FACULTY

Newly Retired

Appointment of Dr. Anne E. Kazak, Professor of Psychology in Pediatrics in the Standing Faculty of the Perelman School of Medicine, is converted to Professor C-E Emerita of Psychology in Pediatrics effective January 1, 2013.

SCHOOL OF NURSING

STANDING FACULTY

Alteration

Change of title and academic status for Dr. Mary T. Ersek from Associate Professor, Granted, full salary to Associate Professor Clinician-Educator, Senior Clinician - (no more prob), full salary.

Reappointment

Reappointment of Dr. J. Margo Brooks Carthon as Assistant Professor of Nursing in the Standing Faculty of the School of Nursing for three years effective July 1, 2014 (full salary; tenure probationary period commenced July 1, 2010). Mandatory tenure review is academic year 2015-16.
Reappointment of Dr. Margaret Cooney Souders as Assistant Professor of Human Genetics in the Standing Faculty--Clinician-Educator of the School of Nursing for three years effective July 1, 2014 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2010. Clinician-educator review is academic year 2018-19.

Reappointment of Dr. Kelly L. Wiltse Nicely as Assistant Professor of Nurse Anesthesia in the Standing Faculty--Clinician-Educator of the School of Nursing for three years effective July 1, 2014 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2010. Clinician-educator review is academic year 2018-19.

Extension

Extension to the approved reappointment and tenure probationary period for Dr. J. Margo Brooks Carthon as Assistant Professor of Nursing in the Standing Faculty of the School of Nursing for one year effective July 1, 2017 (full salary; tenure probationary period commenced July 1, 2010). Adjusted mandatory tenure review is academic year 2016-17. [This extension is granted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended.]

Leave of Absence

Scholarly leave of absence for Dr. Ann L. O'Sullivan, Professor of Primary Care Nursing in the Standing Faculty--Clinician-Educator of the School of Nursing, for the period September 1, 2013 to May 31, 2014, with up to full salary, (20.0% from the leave budget), full benefits continued. [15.0 credits will be accrued; 4.8 credits will be used].

Scholarly leave of absence for Dr. Barbra Mann Wall, Evan C. Thompson Endowed Term Professorship for Excellence in Teaching in the Standing Faculty of the School of Nursing, for the period January 15, 2014 to May 19, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [15.0 credits will be accrued; 12.0 credits will be used].

ASSOCIATED FACULTY

Reappointment

Reappointment of Dr. Sharon Jackson Barton as Adjunct Associate Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Irene C. Berman-Levine as Clinical Assistant Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (assigned duties will determine partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Katherine Finn Davis as Adjunct Assistant Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).
Reappointment of Dr. Victoria Vaughan Dickson as Adjunct Assistant Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Suzanne L. Feetham as Adjunct Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (assigned duties will determine partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Mary Ann Lafferty-Della Valle as Adjunct Associate Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (partial salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Kathryn M. Murphy as Adjunct Associate Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Mary L. de Leon Siantz as Adjunct Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (without salary; not in tenure probationary status in this appointment).

Reappointment of Dr. Douglas M. Sloane as Adjunct Professor of Nursing in the Associated Faculty of the School of Nursing for three years effective July 1, 2013 (assigned duties will determine partial salary; not in tenure probationary status in this appointment).

SCHOOL OF VETERINARY MEDICINE

STANDING FACULTY

Promotion

Promotion of Dr. Lillian R. Aronson to Professor of Surgery at Clinical Studies-Philadelphia in the Standing Faculty--Clinician-Educator of the School of Veterinary Medicine effective July 1, 2013 (full salary; non-tenure; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended).

Reappointment

Reappointment of Dr. Rose D. Nolen-Walston as Assistant Professor of Large Animal Internal Medicine at Clinical Studies-New Bolton Center in the Standing Faculty--Clinician-Educator of the School of Veterinary Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2007. Clinician-educator review is academic year 2015-16.

Reappointment of Dr. Mary Utter as Assistant Professor of Clinical Studies-New Bolton Center at Clinical Studies-New Bolton Center in the Standing Faculty--Clinician-Educator of the School of Veterinary Medicine for three years effective July 1, 2013 (full salary; appointment subject to the stipulations given in Guidelines for Appointments and Promotions, January 8, 1985 or as amended). This appointment carries a ten-year, non-tenure probationary period which commenced July 1, 2006.
and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, March 18, 1997 or as amended. Adjusted clinician-educator review is academic year 2015-16.

**Extension**

**Extension to the approved reappointment and tenure probationary period for Dr. Ralph G. Meyer** as Assistant Professor of Vet Animal Biology in the Standing Faculty of the School of Veterinary Medicine for one year effective July 1, 2013 (full salary; tenure probationary period commenced July 1, 2005 and was previously adjusted pursuant to paragraph A.1 of the policy on extension of tenure probationary period, February 24, 2006, or as amended). Adjusted mandatory tenure review is academic year 2013-14. [This extension is granted pursuant to paragraph E of the policy on extension of tenure probationary period, February 24, 2006 or as amended.]

**Leave of Absence**

**Scholarly leave of absence for Dr. Mark A. Oyama**, Professor of Cardiology at Clinical Studies-Philadelphia in the Standing Faculty--Clinician-Educator of the School of Veterinary Medicine, for the period July 1, 2013 to December 31, 2013, with up to full salary, (100.0% from the leave budget), full benefits continued. [16.0 credits will be accrued; 12.0 credits will be used].

**Scholarly leave of absence for Dr. Karin U. Sorenmo**, Professor of Oncology at Clinical Studies-Philadelphia in the Standing Faculty--Clinician-Educator of the School of Veterinary Medicine, for the period May 15, 2014 to June 30, 2014, without salary, full benefits continued. [0.0 credits will be accrued; 0.0 credits will be used]. is withdrawn at her request.

**Scholarly leave of absence for Dr. Karin U. Sorenmo**, Professor of Oncology at Clinical Studies-Philadelphia in the Standing Faculty--Clinician-Educator of the School of Veterinary Medicine, for the period July 15, 2013 to May 14, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [The borrowing of 1.0 credit is approved for this leave.] [19.0 credits will be accrued; 19.0 credits will be used plus 1.0 credit will be borrowed]. is withdrawn at her request.

**Scholarly leave of absence for Dr. Karin U. Sorenmo**, Professor of Oncology at Clinical Studies-Philadelphia in the Standing Faculty--Clinician-Educator of the School of Veterinary Medicine, for the period July 15, 2013 to January 14, 2014, with up to full salary, (100.0% from the leave budget), full benefits continued. [19.0 credits will be accrued; 12.0 credits will be used].
Resolution to Establish a Masters in Law

Intention:

The Law School proposes to establish a Masters in Law degree, designed to enhance the expertise of students in disciplines other than law with knowledge of the law and the legal system. Focusing initially on students from Penn's own graduate and professional schools, the degree would expand the knowledge base of academics and professionals who do not intend to sit for a bar examination but for whom the study of law can complement their primary fields of work and study.

This new part-time degree program would advance the School's longtime leadership in integrating knowledge, especially through the creation of innovative interdisciplinary and joint degree programs. The School's current joint degree programs are intended for those who plan to practice law, while the new Masters in Law program would benefit those who see the study of law as an adjunct to their main professional areas.

The program would encompass eight courses: a foundational course on U.S. Law and Legal Methods, three courses created specifically for Masters in Law students, and four courses from the School's upper level-curriculum. Students could also receive credit for up to two relevant courses at other Penn graduate or professional schools. The School expects to use the first years of the program to build student cohorts around shared interests – for example, students from Penn's health schools or in disciplines related to intellectual property in engineering and the sciences.

The School's Faculty Educational Programs Committee would provide oversight of the program, ensuring the high quality of its admissions criteria and curricula, with the participation of the Deputy Dean, the Associate Dean for Curriculum Development, and faculty in key areas of study.

The degree program has been approved by the Dean and Standing Faculty of the Law School, the University's Academic Planning and Budget Committee, and the Provost.

RESOLVED that there shall be established in the Law School a Masters in Law as defined in this resolution.
Resolution to Authorize the Spending Rule for Endowments

Intention:

The Board of Trustees ("Board") elected in fiscal year 1981 to be governed by Section 5548 of Title 15 of the Pennsylvania Consolidated Statutes. Pursuant to the Statute, the Board adopted a Spending Rule for the Associated Investments Fund, and has revised the Spending Rule from time to time. The current Spending Rule policy bases 70% of spending on prior year spendable income plus an inflation factor, and 30% of spending on the endowment market value, lagged by one year, multiplied by a target spending rate of 6.5% for financial aid endowments and 4.7% for non-aid endowments. The higher rate for financial aid endowments, approved by the Board in June 2008, is intended to provide bridge funding for the University's undergraduate financial aid initiative until sufficient gifts have been raised, and to support the increase in PhD stipends and professional aid.

Consistent with the reporting requirements of the Pennsylvania Consolidated Statutes, the effective payout rates for Fiscal Year 2013, calculated on the basis of a three year moving average value of the June 30 endowment market value, lagged one year, are 6.7% for financial aid endowments and 5.0% for non-aid endowments. The effective rates for Fiscal Year 2014 will be 6.6% for financial aid endowments and 4.9% for non-aid endowments.

RESOLVED, that based on the Spending Rule formula, the effective payout rates for Fiscal Year 2014, calculated using a three-year average of market values lagged one year, will be 6.6% for financial aid endowments and 4.9% for non-aid endowments.
Resolution to Authorize the Fiscal Year 2014 Operating Budget for the University of Pennsylvania

RESOLVED, that the operating budget for the University of Pennsylvania for Fiscal Year 2014 be $3.07 billion and the same hereby is approved.
Resolution to Authorize the Fiscal Year 2014 Capital Plan for the University of Pennsylvania

Intention:

The Capital Plan proposed for the University of Pennsylvania for Fiscal Year 2014, representing estimated project costs of $385.2 million, is as follows (by funding source):

- School & Center $ 69.0 million
- Gifts & Grants to be Raised $ 5.0 million
- Gifts & Grants in Hand/Pledged $117.0 million
- Capital Funding Transfer $ 18.3 million
- Internal Capital Loan $124.5 million
- Facilities Renewal Fund $ 33.8 million
- Century Bond Proceeds $ 17.1 million
- Research Facilities Development Fund $ 0.5 million

Each project in the Capital Plan above the threshold approval limit as approved by the Board will be presented to the Budget and Finance Committee of the Trustees for approval.

RESOLVED, that the Capital Plan for the University of Pennsylvania for Fiscal Year 2014, representing estimated project costs of $385.2 million and the same hereby is approved.
Resolution to Authorize the Fiscal Year 2014 Operating Budget for
the University of Pennsylvania Health System

Intention:

Management has recommended approval of the Fiscal Year 2014 Operating Budget for the University of Pennsylvania Health System, as presented to the PENN Medicine Executive Committee. The Operating Budgets for Clinical Care Associates, Hospital of the University of Pennsylvania, Pennsylvania Hospital, Penn Presbyterian Medical Center, and Wissahickon Hospice have been reviewed by their respective Trustee Boards, which have recommended them for approval.

The PENN Medicine Executive Committee recommends to the University of Pennsylvania Budget and Finance Committee that the Fiscal Year 2014 Operating Budget of the University of Pennsylvania Health System be approved.

RESOLVED, that the Fiscal Year 2014 Operating Budget of the University of Pennsylvania Health System be approved.
Resolution to Authorize the Fiscal Year 2014 Capital Budget for the University of Pennsylvania Health System

Intention:

Management has recommended approval of the Fiscal Year 2014 Capital Budget authorization of $272 million for the University of Pennsylvania Health System as presented to the PENN Medicine Executive Committee. The Capital Budgets for Clinical Care Associates, Hospital of the University of Pennsylvania, Pennsylvania Hospital, and Penn Presbyterian Medical Center have been reviewed by their respective Trustee Boards, which have recommended them for approval. No capital was recommended for approval by the Trustee Board of Wissahickon Hospice.

The PENN Medicine Executive Committee recommends to the University of Pennsylvania Budget and Finance Committee the establishment of the Fiscal Year 2014 University of Pennsylvania Health System capital authorization for new capital projects and items in the amount of $272 million.

RESOLVED, that the Fiscal Year 2014 University of Pennsylvania Health System capital authorization for new capital projects and items in the amount of $272 million be approved.
Resolution to Declare University's Intent to Reimburse Capital Costs with Proceeds of Borrowings

Intention:

The Trustees of the University of Pennsylvania (University) are undertaking various capital projects described in Exhibit A (Project). The Administration of the University recommends that the Trustees declare the University's intent to reimburse expenditures for the Project with proceeds of borrowings, including tax-exempt debt obligations. Background on this resolution is provided in Exhibit B.

RESOLVED that the University hereby declares its intent under Treasury Regulation § 1.150-2 to use borrowings, including tax-exempt bonds, to finance the capital costs of the Project, together with costs of issuance and reasonably required reserves, subject to the following conditions:

1. The maximum principal amount of tax-exempt bonds expected to be issued to finance the Project is $200 million.

2. Pending issuance of the tax-exempt bonds, the University may finance the Project with other funds which will be reimbursed with the proceeds of the tax-exempt bonds.

3. Issuance of the tax-exempt bonds shall be subject to documentation acceptable to the University providing for the tax-exempt bonds to be payable from the payments by the University.
EXHIBIT A

Description of Capital Costs

The Project consists primarily of construction, renovation, improvements and equipment acquisitions at the University's facilities in the Commonwealth of Pennsylvania - including the campus of the University in the City of Philadelphia, the boundaries of which are 41st Street on the West, 31st Street on the East, Chestnut Street on the North and Civic Center Boulevard on the South. The Project facilities will be used by the University in its activities as an independent not-for-profit institution of higher learning.

The Project is reasonably expected to include the following specific facilities together with other projects included in the Fiscal Year 2014 Capital Budget:

- Richards Building Renovations
- Chilled Water Expansion
- Other projects included in the Fiscal Year 2014 University Capital Plan
Background on Resolution to Declare University of Pennsylvania Intent to Reimburse Capital Costs with Proceeds of Borrowings

The University's lowest cost and most favorable source of capital is tax-exempt financing. The IRS has promulgated specific rules and procedures to enable eligible capital projects to secure tax-exempt financing. One of the basic principles of tax-exempt financing is that the proceeds must be traced to the acquisition of specifically identified assets. The IRS further requires that if an issuer wishes to borrow for expenditures prior to a tax-exempt borrowing, the governing board of such issuer declare its intent to borrow at the time of the expenditure. The benefit of declaring intent to borrow is that, should the University secure external financing for any capital project, the University could reimburse itself for these expenditures and use the proceeds immediately upon their delivery. The reimbursement resolution does not commit or authorize any borrowing by the University. If the University moves forward with long-term borrowing plans, the Budget and Finance Committee and Trustees of the University would need to approve such plans.

The financial management of the University recommends that this reimbursement resolution be adopted and a similar resolution be adopted each June when the capital plan is presented to the Budget & Finance Committee of the Trustees.
Resolution to Declare University of Pennsylvania Health System's Intent to Reimburse Capital Costs with Proceeds of Borrowings

Intention:

The Trustees of the University of Pennsylvania ("The University") is undertaking various capital projects for University of Pennsylvania Health System ("UPHS") described in Exhibit A (capital costs). The Administration recommends that the Trustees declare UPHS intent to reimburse expenditures for the capital costs with proceeds of borrowings, including tax-exempt debt obligations. Background on this resolution is provided in Exhibit B.

RESOLVED that the University hereby declares its intent under Treasury Regulation § 1.150-2 to use borrowings, including tax-exempt bonds, to finance the capital costs, together with costs of issuance and reasonably required reserves, subject to the following conditions:

1. The maximum principal amount of tax-exempt bonds expected to be issued to finance the capital costs is $200 million.

2. Pending issuance of the tax-exempt bonds, UPHS may finance the capital costs with other funds which will be reimbursed with the proceeds of the tax-exempt bonds.

3. Issuance of the tax-exempt bonds shall be subject to documentation acceptable to the University providing for the tax-exempt bonds to be payable from the payments by UPHS.
EXHIBIT A

Description of Capital Costs

The capital costs consist primarily of construction, renovation, improvements and equipment acquisitions at UPHS facilities in the Commonwealth of Pennsylvania during fiscal years 2014 through 2018 as set forth in the UPHS capital budgets for these years.

The capital costs are reasonably expected to include the following specific facilities together with other projects included in the Fiscal Year 2014 Capital Budget:

- South Pavilion Extension at Perelman Center for Advanced Medicine
- Parking Garage at Pennsylvania Hall Site
- Medical Education Center and Perelman Center for Advanced Medicine South Pavilion Extension Overbuild
- Penn Presbyterian Medical Center Outpatient Expansion
- Pennsylvania Hospital Outpatient Consolidation and Inpatient Decanting

Other projects included in the Fiscal Year 2014 UPHS Capital Budget
**EXHIBIT B**

**Background on Resolution to Declare University of Pennsylvania Health System Intent to Reimburse Capital Costs with Proceeds of Borrowings**

The lowest cost and most favorable source of capital for UPHS is tax-exempt financing. The IRS has promulgated specific rules and procedures to enable eligible capital projects to secure tax-exempt financing. One of the basic principles of tax-exempt financing is that the proceeds must be traced to the acquisition of specifically identified assets. The IRS further requires that if an issuer wishes to make expenditures prior to a tax-exempt borrowing, the governing board of such issuer declare its intent to borrow at the time of the expenditure. The benefit of declaring intent to borrow is that, should UPHS secure external financing for any capital project, UPHS could reimburse itself for these expenditures and use the proceeds immediately upon their delivery. The reimbursement resolution does not commit or authorize any borrowing by UPHS. If UPHS moves forward with long-term borrowing plans, the PENN Medicine Board, the Budget and Finance Committee and the Trustees of the University would need to approve such plans.

The financial management of UPHS recommends that this reimbursement resolution be adopted and a similar resolution be adopted each June when the capital plan is presented to the PENN Medicine Board.
Resolution to Authorize Next Generation Student System Release 1 in the Amount of $13,769,000

Intention:

The Division of Finance wishes to proceed with the replacement of the suite of student systems currently managed by Student Registration and Financial Services. The entire Next Generation Student Systems suite includes four major modules – student accounts and billing, student records and registration, financial aid and core foundational data.

The components of the existing system are between 20 and 30 years old and were built on older and inflexible technologies. The initial release of the new system is for two of the four modules – core foundational student data, to be used throughout the entire student systems suite, and student accounts and billing, which will use real-time integration to improve the quality and timeliness of billing information and provide decision and operational support with performance metrics and advanced analytic capabilities. The initial release is estimated to cost $13,769,000 and will be funded by Division of Finance reserves.

The remaining modules of the Next Generation Student System – student records and registration and financial aid – will be evaluated and included in subsequent releases. It is currently estimated that the cost of those additional modules approximates $35 million.

RESOLVED, that the Next Generation Student System Release 1, estimated to cost $13,769,000, be and the same hereby is approved and that the Executive Vice President or the Vice President for Facilities and Real Estate Services or Vice President for Finance and Treasurer be and hereby are authorized to take such actions, execute such contracts and incur such expenses and obligations – not, however, in excess of 110% of the estimated costs as presented to the Budget and Finance Committee – as may, in his or her judgment, be necessary or desirable to accomplish the purposes of this resolution. Any actions heretofore taken by any such officers in furtherance of the purpose of this resolution are hereby ratified and confirmed.
Resolution to Authorize Richards C & D Tower Renovations for the Center for Cognitive Neuroscience in the Amount of $13,927,000

Intention:

The Perelman School of Medicine wishes to proceed with the renovation of Towers C & D of the Richards Building. This renovation will convert obsolete wet lab research to dry lab research space for the Center for Cognitive Neuroscience. The project scope will reconfigure approximately 14,000 square feet of existing space on the 5th and 6th floors, including open-plan labs for researchers and private offices for faculty, conference rooms and support areas, testing and procedure rooms, toilet room renovations, and mechanical and electrical upgrades in the basement and penthouse. The project is estimated to cost $13,927,000 and will be funded by PSOM special purpose funds, Vice Provost for Research funds, the PIK program and the University Facilities Renewal Matching Program.

RESOLVED, that the Richards C & D Tower Renovations for the Center for Cognitive Neuroscience, estimated to cost $13,927,000, be and the same hereby are approved and that the Executive Vice President or the Vice President for Facilities and Real Estate Services or Vice President for Finance and Treasurer be and hereby are authorized to take such actions, execute such contracts and incur such expenses and obligations – not, however, in excess of 110% of the estimated costs as presented to the Budget and Finance Committee – as may, in his or her judgment, be necessary or desirable to accomplish the purposes of this resolution. Any actions heretofore taken by any such officers in furtherance of the purpose of this resolution are hereby ratified and confirmed.
Resolution to Authorize Mod 7 Chilled Water Plant Expansion Construction Documents Phase and Equipment Pre-Purchase in the Amount of $18,700,000

Intention:

Facilities and Real Estate Services wishes to proceed with the design of the expansion of the Mod 7 Chilled Water Plant and the pre-purchase of the chillers and cooling towers. Additional chilled water capacity will be required for new buildings such as the Neural Behavioral Science Building and the New College House on Hill Square, as well as for providing chilled water capability to existing buildings being air conditioned such as the University Museum, the Palestra/Hutchinson Gymnasium and Hill College House. Current capacity is 30,000 tons; this expansion will add an additional 10,000 tons of capacity. The new addition will be built to house a future 10,000 tons of capacity at such time as required. The chillers and cooling towers must be pre-purchased to incorporate specific design parameters into the construction documents. This phase of the project is estimated to cost $18,700,000 and will be funded by FRES operating and special purpose funds, and an internal capital loan.

RESOLVED, that the Mod 7 Chilled Water Plant Expansion Construction Documents Phase and Equipment Pre-Purchase, estimated to cost $18,700,000, be and the same hereby are approved and that the Executive Vice President or the Vice President for Facilities and Real Estate Services or Vice President for Finance and Treasurer be and hereby are authorized to take such actions, execute such contracts and incur such expenses and obligations – not, however, in excess of 110% of the estimated costs as presented to the Budget and Finance Committee – as may, in his or her judgment, be necessary or desirable to accomplish the purposes of this resolution. Any actions heretofore taken by any such officers in furtherance of the purpose of this resolution are hereby ratified and confirmed.
Resolution to Authorize Construction of the Neural Behavioral Science Building for an Additional $62,900,000 (Total Revised Budget of $68,600,000)

Intention:

In November 2011, the Trustees approved $2,870,000 for the design development phase of the Neural Behavioral Science (NBS) Building. An additional $2,830,000 (project total of $5,700,000) was approved in October 2012 to proceed through the Construction Documentation phase. The School of Arts and Sciences now requests approval for an additional $62,900,000 to continue with the construction of the Neural Behavioral Science Building. The 76,500 square foot NBS building will include teaching laboratories, seminar rooms and offices, a large lecture hall and support spaces for the Biology and Psychology Departments, and offices and meeting space for the Life Sciences Management program. Demolition of the Mudd and Kaplan buildings will be required. The building will have a direct connection with the Leidy Laboratories Building and a service connection with the Carolyn Lynch Laboratory Building. The project scope also includes conversion of approximately 5,000 square feet of lab space for dry research in the Goddard Laboratories Building. The project is estimated to cost $68,600,000 and will be funded by capital and operating gifts, a Health Research Formula Funds grant, designated investment funds, University Subvention, school special purpose funds and Classroom Pool funds.

RESOLVED, that the Construction of the Neural Behavioral Science Building, estimated to cost $68,600,000, be and the same hereby is approved and that the Executive Vice President or the Vice President for Facilities and Real Estate Services or Vice President for Finance and Treasurer be and hereby are authorized to take such actions, execute such contracts and incur such expenses and obligations – not, however, in excess of 110% of the estimated costs as presented to the Budget and Finance Committee – as may, in his or her judgment, be necessary or desirable to accomplish the purposes of this resolution. Any actions heretofore taken by any such officers in furtherance of the purpose of this resolution are hereby ratified and confirmed.
Resolution on the Extension of Certain Existing University of Pennsylvania Health System Indebtedness in the Amount of a $100,000,000 Revolving Line of Credit and the Issuance of a Master Note to Secure Indebtedness

Intention:

In order to provide financing for the working capital needs of the University of Pennsylvania Health System (Health System) and to provide interim financing for certain capital projects of the Health System, the Health System previously entered into a $100,000,000 revolving line of credit (Line of Credit) with PNC Bank, National Association (Bank).

Management of The Trustees of the University of Pennsylvania (University or The Trustees) and of the Health System (Management) is recommending to Penn Medicine Executive Committee and Penn Medicine Finance Committee that the Health System extend the Line of Credit with the Bank.

The Health System will continue to pay to the Bank a fee on the unutilized portion of the Line of Credit, and interest on amounts drawn under the Line of Credit, in accordance with terms to be negotiated by Management. The Line of Credit, as extended, will be secured by a master note issued under the Master Trust Indenture (Master Indenture) between The Trustees and U.S. Bank National Association, as successor master trustee. The Hospital of the University of Pennsylvania and the Clinical Practices of the University of Pennsylvania are the "designated units" of the Trustees under the Master Indenture that are obligated to make payments required under the Master Indenture. Presbyterian Medical Center of the University of Pennsylvania Health System d.b.a. Penn Presbyterian Medical Center, Pennsylvania Hospital of the University of Pennsylvania Health System, Clinical Care Associates of the University of Pennsylvania Health System, and Wissahickon Hospice of the University of Pennsylvania Health System, all of which are controlled affiliates of and wholly owned subsidiaries of the Trustees, are also obligated parties under the Master Indenture and are jointly and severally liable for any debt incurred under the Master Indenture.

The Penn Medicine Executive Committee, the Penn Medicine Finance Committee and Management have recommended to the Trustees that they authorize and approve the extension of the Line of Credit and the delivery of a Master Note to the Bank to secure the Line of Credit.

Management requests the Trustees to delegate to it the power to take all action with respect to the extension of the Line of Credit.

RESOLVED, that the Trustees hereby authorize and approve the extension of the Line of Credit as described in the foregoing Intention.

FURTHER RESOLVED, that the Trustees hereby authorize and approve the delivery of a Master Note to the Bank issued under the Master Indenture to secure the Line of Credit.

FURTHER RESOLVED, that the Line of Credit authorized by this resolution may bear interest at a fixed or variable interest rate.

FURTHER RESOLVED, that the President, Executive Vice President or Vice President for Finance and Treasurer be and they are hereby authorized and empowered to take such action,
approve the form and content and execute and deliver, and the Secretary or other authorized officer of the Trustees is authorized and directed to affix the seal of the University to, such documents, including if applicable, one or more bank agreements, promissory notes, supplemental Master Indentures, master notes, and any other documents, agreements or instruments relating to the extension of the Line of Credit, and to do such things as shall be necessary or appropriate to effectuate the borrowing authorized by this resolution.

FURTHER RESOLVED, that the appropriate officers of each of the members of the Health System, or the Senior Vice President and Chief Financial Officer of the Health System on their behalf, be and each of them is hereby authorized and directed to execute and deliver such documents and to take all action and to do all things as shall be necessary or advisable in connection with the subject matter of this resolution and the Secretary or Associate Secretary of each component of the Health System is hereby authorized to affix the seal of such component to such documents.
Resolution to Re-Appoint David M. Brashear as Chair of the Board of Overseers of the Annenberg Center for the Performing Arts

and to Appoint Leslie C. Cohen to the Board of Overseers of the Annenberg Center for the Performing Arts

RESOLVED, that David M. Brashear be re-appointed as Chair of the Board of Overseers of the Annenberg Center for the Performing Arts for a three-year term, effective June 14, 2013.

RESOLVED, that Leslie C. Cohen be appointed to the Board of Overseers of the Annenberg Center for the Performing Arts for a renewable three-year term, effective June 14, 2013.

Ms. Cohen, C’85, is Chief Digital Officer and Managing Director of Technology Ventures for IMG Artists in New York. She is active with the Penn Alumni Interview Program, where she serves as Chair of the School Committee for the borough of Manhattan. Most recently, Ms. Cohen participated as a panelist for the Annenberg Center's "Music Business 101" panel at the Penn Club in New York. She received her MBA from Yale University School of Management and serves on the Board of Directors of High Five Tickets to the Arts, a non-profit dedicated to creating a young, motivated audience for the arts.
RESOLVED, that Laurence A. Goldberg be appointed to the Board of Overseers of the School of Design for a renewable three-year term, effective June 14, 2013.

Mr. Goldberg, W’89, is Managing Director and Head of the Global Technology, Media and Telecommunications Group in the Investment Banking Division at Barclays. He is a member of the Class of 1989 Gift Committee and frequently attends Wharton, Netter Center, and Engineering events on campus and in New York. In addition to his support of PennDesign, Mr. Goldberg has also endowed an undergraduate scholarship.
Resolution to Re-Appoint David J. Berkman, Paul S. Greenberg, David M. Magerman, and Hital R. Meswani to the Board of Overseers of the School of Engineering and Applied Science

RESOLVED, that David J. Berkman, Paul S. Greenberg, David M. Magerman, and Hital R. Meswani be re-appointed to the Board of Overseers of the School of Engineering and Applied Science for renewable three-year terms, effective June 14, 2013.

Mr. Berkman, W'83, is Managing Partner of Liberty Associated Partners, LP. He is a member of Penn's Parent Leadership Committee and previously served as a Penn Engineering Overseer from 2003 - 2012.

Mr. Greenberg, EE'83, WG'87, is Managing Member and Chief Executive Officer at Lutetium Capital. He was a member of the Making History Major Gift Committee and previously served as a Penn Engineering Overseer from 2003 - 2012.

Dr. Magerman, is the Founder and President of the Kohelet Foundation. He previously served as a Penn Engineering Overseer from 2003 – 2012.

Mr. Meswani, C'90, WG'90, is Executive Director and Member of the Board for Reliance Industries Limited. He has been active with the Penn Alumni Interview Program in India and has previously served as a Penn Engineering Overseer from 2003 – 2012.
Resolution to Re-Appoint Allison Weiss Brady to the Board of Overseers of the Graduate School of Education

RESOLVED, that Allison Weiss Brady be re-appointed to the Board of Overseers of the Graduate School of Education for a renewable three-year term, effective June 14, 2013.

Mrs. Weiss Brady, C'93, is Owner of WeissPR, Inc. in Miami, FL. She is a member of Penn's Class of 1993 Gift Committee and a former TCPW Member. Mrs. Weiss Brady previously served as a GSE Overseer from 2003 – 2012.
Resolution to Re-Appoint Andrea B. Laporte as Chair of the Board of Overseers of the Institute of Contemporary Art

and to Appoint Lisa A. Tananbaum to the Board of Overseers of the Institute of Contemporary Art

RESOLVED, that Andrea B. Laporte be re-appointed as Chair of the Board of Overseers of the Institute of Contemporary Art for a three-year term, effective June 14, 2013.

RESOLVED, that Lisa A. Tananbaum be appointed to the Board of Overseers of the Institute of Contemporary Art for a renewable three-year term, effective June 14, 2013.

Mrs. Tananbaum is an active contemporary art collector who currently serves on the Guggenheim Museum's Photography Committee. A 1986 graduate of Northwestern University, she remains active with her alma mater as a Member of the Executive Committee of the Women's Initiative of Northwestern. In addition to supporting the ICA, Mrs. Tananbaum and her husband, Mr. Steven Tananbaum, have supported undergraduate scholarship at Penn. The couple's son, Harrison Tananbaum, C'17, will be a freshman at Penn next year.
Resolution to Appoint Robert JT Rosenfeld to the
Penn Institute for Urban Research External Advisory Board

RESOLVED, that Robert JT Rosenfeld be appointed to the Penn Institute for Urban Research External Advisory Board for a renewable three-year term, effective June 14, 2013.

Mr. Rosenfeld, W'84, co-directs the acquisition and development activities of JBG Rosenfeld Retail. He has been a consistent supporter of the Penn Fund and frequently attends reunion activities. Mr. Rosenfeld also serves as a member of the Council of Advisors for the University of Maryland's Masters of Real Estate Development program, where he has served as an adjunct professor. He received his MBA from Harvard Business School.
Resolution to Appoint Perry Golkin as Chair of the
Board of Overseers of the School of Law

and to re-appoint Marci Engel to the Board of Overseers of the School of Law

RESOLVED, that Perry Golkin be appointed as Chair of the Board of Overseers of the School of Law for a three-year term, effective June 14, 2013.

Mr. Golkin, W'74, WG'74, L'78 is Co-Founder and Chief Executive Officer at Public Pension Capital Management. He serves a University Trustee and has been a member of the Penn Law Board of Overseers since 2001. In recognition of Mr. Golkin's extraordinary commitment and generosity to the University, Penn Law's Golkin Hall bears his name. Mr. Golkin is also a member of the Penn Medicine Executive Committee, a member of Penn's Investment Committee, and recently received the Adjunct Teaching Award for his course, Business Strategy and Corporate Law.

RESOLVED, that Marcy Engel be re-appointed to the Board of Overseers of the School of Law for a renewable three-year term, effective June 14, 2013.

Ms. Engel, L'83, is COO and General Counsel of Eton Park Capital Management. She is a member of the Penn Law Class of 1983 Reunion Committee and previously served as a Penn Law Overseer from 2003 – 2012.
Resolution to Appoint Peter V. Shoemaker to the Board of Overseers of the University Libraries

RESOLVED, that Peter V. Shoemaker be appointed to the Board of Overseers of the University Libraries for a renewable three-year term, effective June 14, 2013.

Mr. Shoemaker, C'86, is a Founder and Managing Director of Wedbush Capital Partners. He is an active volunteer for the University and received the Alumni Award of Merit in 2005. Mr. Shoemaker is completing a five year term as Chairman of the Alumni Awards and Resolution Committee and is a longtime member of the Southern California Regional Advisory Board. He is also the former President of the Penn Club of Los Angeles and a former Penn Fund Executive Board member. He is a Trustee of Marlborough School, where he serves as Treasurer and member of the Executive Committee.
Resolution to Appoint Moses Feldman as Co-Vice Chair of the
Board of Managers of the Morris Arboretum of the University of Pennsylvania

and to Appoint Rebecca W. Bushnell to the
Board of Managers of the Morris Arboretum of the University of Pennsylvania

and to Re-appoint Susan Peck to the
Board of Managers of the Morris Arboretum of the University of Pennsylvania

RESOLVED, that Moses Feldman be appointed as Co-Vice Chair of the Board of Managers of the Morris Arboretum of the University of Pennsylvania for a three-year term, effective June 14, 2013.

Mr. Feldman is retired as Founder and Chairman of Aeromed, Inc. and is a Managing Trustee of the Moses Feldman Family Foundation. Mr. Feldman has served on the Arboretum Board since 2007 and is also a member of the Arboretum's Directors' Guild. He was previously a member of the Arboretum's Always Growing Campaign Cabinet and has served on the Board of Overseers for the Heller School of Social Policy and Management at Brandeis University.

RESOLVED, that Rebecca W. Bushnell be appointed to the Board of Managers of the Morris Arboretum of the University of Pennsylvania for a renewable three-year term, effective June 14, 2013.

Dr. Bushnell will be completing her term as Dean of the School of Arts and Sciences on June 30, 2013. She has served as Dean and the Thomas S. Gates, Jr. Professor since January 2005 and has been a Penn faculty member since 1982. She holds a B.A. from Swarthmore College, an M.A. in English literature from Bryn Mawr, and a Ph.D. in comparative literature from Princeton. Dr. Bushnell has been a member of the Morris Arboretum since 2004 and her appointment will continue to strengthen collaboration efforts between the University and the Morris Arboretum.

RESOLVED, that Susan P. Peck be re-appointed to the Board of Managers of the Morris Arboretum of the University of Pennsylvania for a renewable three-year term, effective June 14, 2013.

Dr. Peck is a Fellow at the Academy of Natural Sciences; she received her undergraduate degree from Harvard College and her Doctor of Science degree from the Harvard School of Public Health. She was previously a member of the Morris Arboretum Board of manager from 2003-2012, serving as Co-Vice Chair from 2009-2012.
Resolution to Appoint William L. Conrad and William L. Potter to the Board of Overseers of the University of Pennsylvania Museum of Archaeology and Anthropology


Mr. Conrad is Senior Vice President of Delaware Investments. He and his wife, Mrs. Joanne Hodges Conrad, C'79, are very engaged members of the Penn Museum. Mr. Conrad serves as Co-Chair of the Loren Eiseley Society and is an active supporter of the restoration of the Penn Museum's gardens. Mr. Conrad serves as a Trustee of Magee Rehabilitation Hospital and the Christopher Ludwick Foundation. He and Mrs. Conrad are the parents of Caroline Q. Conrad, C'12.

Mr. Potter, WG'88, is Managing Director and Senior Portfolio Manager for Palisade Capital Management. He is also an amateur photographer with a personal interest in anthropology. Mr. Potter is an avid collector of photographs of archaeological and anthropological interest and is actively involved with the Museum Archives.
Resolution to Appoint William S. Smilow and Susan C. Taylor, M.D. to the Penn Medicine Board

Intention:

Section 7.4 of the Statutes of the Trustees of the University of Pennsylvania ("Statutes") and Sections 3.2 and 3.3 of the Bylaws of Penn Medicine ("Bylaws"), as amended, provide for the appointment of Term Members for three (3) year terms to the Penn Medicine Board by the University Trustees, upon nomination by the Chairman of the University Trustees, the Chairman of the Penn Medicine Board and the President of the University, acting jointly. The members shall be persons who, by their experience and expertise, can further the mission of Penn Medicine.

William S. Smilow is President of Great Oak Holdings, Inc. and is a leading philanthropist and advocate on the Penn Cardiovascular Institute (CVI) Leadership Council. In August 2012, Mr. Smilow and his father gave a gift to name the Smilow Center for Translational Research at Penn Medicine. The Smilow gift was among the largest gifts in Penn Medicine's history. Mr. Smilow is a Trustee of the Springside School and Chestnut Hill Academy. He is a graduate of Boston University.

Susan C. Taylor, M.D. is the owner of Society Hill Dermatology, and the founding director of the Skin of Color Center at St. Luke's Hospital Center in New York. From 2002 – 2011, she served as a member of the University of Pennsylvania Board of Trustees and she has served as a member of the Penn Alumni Board of Directors. Dr. Taylor is a Trustee of the Baldwin School and the United Way of Eastern Pennsylvania. She is a member of the American Dermatology Association, the National Medical Association, the Pennsylvania Medical Society and the College of Physicians of Philadelphia. Dr. Taylor graduated magna cum laude from the University of Pennsylvania in 1979 and she completed a residency in Internal Medicine at Pennsylvania Hospital.

RESOLVED, that William S. Smilow and Susan C. Taylor, M.D. be appointed to the Penn Medicine Board, each for a three year term as a Term Member, effective June 14, 2013 until June 14, 2016, to serve in accordance with the Bylaws.
Resolution to Reappoint Members of the Penn Medicine Board as Term Members

Intention:

Section 7.4 of the Statutes of the Trustees of the University of Pennsylvania and Section 3.2 of the Bylaws of Penn Medicine, as amended, provide for the appointment of Term Members and Charter Members of the Penn Medicine Board by the University Trustees, upon nomination by the Chairman of the University Trustees, the Chairman of the Penn Medicine Board and the President of the University, acting jointly. The members shall be persons who, by their experience and expertise, can further the mission of Penn Medicine. Section 3.3(a) of the amended Bylaws provides that Term Members who have been appointed to the Penn Medicine Board in any capacity prior to February 27, 2009 may be reappointed for three (3) year terms provided such members may serve a maximum of nine (9) years as Term Members, including such prior periods of service on the Penn Medicine Board. The period of prior service on the Executive Committee as a University Trustee is also applied to the nine (9) year maximum.

RESOLVED, that each of the following individuals be reappointed for a three (3) year term as a Term Member of the Penn Medicine Board, for the terms set forth below, each to serve in accordance with the Bylaws of Penn Medicine:

Catherine Roberts Clifton  June 17, 2013 - June 17, 2016
Mitchell L. Morgan       June 14, 2013 - June 14, 2016
Resolution to Appoint James H. Greene, Jr., Charles B. Leitner and George A. Weiss to the Penn Medicine Board and the Penn Medicine Executive Committee

Intention:

Section 7.4 of the Statutes of the Trustees of the University of Pennsylvania ("Statutes") and Sections 3.2 and 3.3 of the Bylaws of Penn Medicine ("Bylaws"), as amended, provide for the appointment of Term Members for three (3) year terms to the Penn Medicine Board by the University Trustees, upon nomination by the Chairman of the University Trustees, the Chairman of the Penn Medicine Board and the President of the University, acting jointly. The members shall be persons who, by their experience and expertise, can further the mission of Penn Medicine. Further, Section 7.4 of the Statutes and Section 4.1 of the Bylaws provide for the appointment of members to the Penn Medicine Executive Committee upon nomination by the Chair of the University Trustees, the Chair of the Penn Medicine Board and the President of the University, acting jointly, and as approved by the University Trustees. Section 4.1 of the Bylaws further states that the Penn Medicine Executive Committee shall consist of no more than 16 members, a majority of whom (not including the EVP/Dean, the Executive Vice President of the University, and the CEO) shall be University Trustees. The appointed members of the Penn Medicine Executive Committee serve at the pleasure of the Chair of the University Trustees, the Chair of the Penn Medicine Board, and the President of the University.

James H. Greene, Jr. (W’72) is a member of KKR Management, LLC and the general partner of KKR & Co., L.P. Mr. Greene serves on the Board of Directors of the following KKR portfolio companies: Aricent, Inc., SunGard Data Systems, Inc., and TASC, Inc. Mr. Greene was a former Director and member of the Executive Committee of the U.S. Lacrosse Foundation. At Penn, Mr. Greene is a Trustee and serves as Vice Chairman of the Athletics Board of Overseers and a co-chairman of the Penn Lacrosse Alumni Board. Mr. Greene also serves as the Treasurer and as a Gift Chair for the Class of 1972.

Charles B. Leitner (C’81) is the current Chairman of the ULI Greenprint Center for Building Performance. He was a Member of the Advisory Council of Strategic Value Partners and spent twenty-four years at RREEF where he served in a variety of roles. Mr. Leitner has served as a Director for a variety of entities including Dexus and DB Real Estate Investment, GmbH. He is a Trustee of the Urban Land Institute, on the Board of Directors of the Pension Real Estate Association and a member of the Real Estate Roundtable. At Penn, Mr. Leitner earned a B.A. in Urban Studies, captained the Men's Lacrosse Team, and achieved All Ivy League and All American honors. He is a Trustee and currently serves as Chair of the Athletics Overseer Board and as an Overseer for the School of Design, as well as Co-Chair of Penn's Lacrosse Board.

George A. Weiss (W’65) is president of George Weiss Associates, Inc. and Chief Executive Officer of Weiss Multi-Strategy Advisors LLC. At Penn, Mr. Weiss is an Emeritus Trustee, and former Vice Chair of the Board of Trustees and immediate past Chair of the Development Committee. He was also Chair of the Making History Campaign, a member of the Athletics Overseer Board and he served as Chair of the University Committee for Undergraduate Financial Aid. Past service includes the Alumni Committee on Athletics, the Penn Alumni Board of Directors, the Jeff Davis Association, and the Alumni Club's Advisory Council. Mr. Weiss is a 1985 recipient of the Alumni Award of Merit.

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RESOLVED, that James H. Greene, Charles B. Leitner and George A. Weiss be appointed to the Penn Medicine Board, each for a three year term as a Term Member, effective June 14, 2013 until June 14, 2016, to serve in accordance with the Bylaws.

FURTHER RESOLVED, that James H. Greene, Charles B. Leitner and George A. Weiss, University Trustees, each be appointed to the Penn Medicine Executive Committee, effective June 14, 2013, to serve in accordance with the Bylaws.
Resolution to Appoint or Reappoint Members of the Penn Medicine Executive Committee

Intention:

Section 7.4 of the Statutes of the University of Pennsylvania and Section 4 of the Bylaws of Penn Medicine provide for the appointment of members of the Penn Medicine Executive Committee ("Executive Committee"), upon nomination by the Chairman of the University Trustees, the Chairman of the Penn Medicine Board and the President of the University, acting jointly and approved by the University Trustees. Section 4.1 of the Bylaws of Penn Medicine, as amended, provides for the Executive Committee to consist of no more than 16 members and shall include the Chairman of the Penn Medicine Board who shall be Chairman of the Executive Committee; five (5) members of the Executive Committee who shall serve ex officio, with vote, including the Chairman of the University Trustees, the President of the University, the Executive Vice President of the University for the Health System/Dean of the School of Medicine ("EVP/Dean"), the Executive Vice President of the University, and the Chief Executive Officer of the Clinical Components of Penn Medicine ("CEO"); one (1) University Trustee who is a member of the University's Budget and Finance Committee, and one (1) University Trustee who is a member of the University's Audit and Compliance Committee. A majority of the Executive Committee members (not including the EVP/Dean, Executive Vice President of the University and the CEO) shall be University Trustees. The appointed members of the Executive Committee shall serve at the pleasure of the Chairman of the University Trustees, the Chairman of the Penn Medicine Board, and the President of the University.

RESOLVED, that the following individuals be appointed or reappointed to the Executive Committee of the Penn Medicine Board, each to serve in accordance with the Bylaws of Penn Medicine:

Madlyn K. Abramson
Craig R. Carnaroli, ex officio
David L. Cohen, Esq. ex officio
Perry Golkin, Esq.
James H. Greene, Jr.
Amy Gutmann, Ph.D., ex officio
Andrew R. Heyer
J. Larry Jameson, M.D., Ph.D., ex officio
Charles B. Leitner
Rosemary Mazanet, M.D., Ph.D.
Ralph W. Muller, ex officio
James S. Riepe
George A. Weiss
Mark. O. Winkelman (Chair)
Resolution to Appoint Richard W. Vague as Chair of the Board of Trustees of the University of Pennsylvania Press

RESOLVED, that Richard W. Vague be appointed as Chair of the Board of Trustees of the University of Pennsylvania Press for a two-year term, effective June 14, 2013.

Mr. Vague most recently cofounded and served as chairman and CEO of Energy Plus, an electricity and natural gas supply company that was sold to NRG Energy in 2011. Mr. Vague serves on the Penn Medicine Board and has been a member of the Penn Press board since June 2007. He chairs The Governor's Woods Foundation and is president of the Philadelphia Live Arts and Fringe Festival. He serves on several other non-profit boards including the Franklin Institute, the Arts and Business Council of Greater Philadelphia, the Tisch School of the Arts at NYU, and the U.S. State Department's Advisory Committee on International Economic Policy.
Resolution to Appoint Ilona S. English and Michael F. Gerber to the Board of Overseers of the School of Veterinary Medicine

RESOLVED, that Ilona S. English and Michael F. Gerber be appointed to the Board of Overseers of the School of Veterinary Medicine for renewable three-year terms, effective June 14, 2013.

Ms. English, WEV’77, GFA’88, is a private investor and Owner of Summit Sporthorses Ltd. Summit Sportshorses breeds horses for dressage and show jumping and Ms. English is a longtime supporter of Penn Vet's New Bolton Center. Ms. English is a Founder and former Chair of the East Amwell Historic Preservation Committee. She has also established the Ilona S. English Travel Award at Penn Design.

Mr. Gerber, C'95, is Senior Vice President of Public Affairs, Strategy, and Product Administration at Franklin Square Partners. He serves as a Commonwealth Trustee of the University. He was previously a member of the Pennsylvania General Assembly, where he co-led the establishment of the Penn Caucus.
Resolution to Appoint Bonnie M. Bandeen, James G. Dinan, Sebastian Escarrer, Peter Huntsman, William H. Lawrence, Marc J. Rowan, and Paul W. Soldatos to the Board of Overseers of the Wharton School of the University of Pennsylvania

RESOLVED, that Bonnie M. Bandeen, James G. Dinan, Sebastian Escarrer, Peter Huntsman, William H. Lawrence, Marc J. Rowan, and Paul W. Soldatos be appointed to the Board of Overseers of the Wharton School of the University of Pennsylvania for renewable three-year terms, effective June 14, 2013.

Ms. Bandeen, C'80, WG'85 was previously a Managing Director at Morgan Stanley. Ms. Bandeen has been a member of the Wharton Executive Board for Europe, the Middle East and Africa since 2011. She is a TCPW member and serves on Penn's United Kingdom Leadership Committee. Her son Jeffrey, C'16, is a sophomore at Penn.

Mr. Dinan, W'81, is Founder & CEO, York Capital Management. He is a University Trustee and currently serves on the Wharton Undergraduate Executive Board. Mr. Dinan is also the Chairman of the Board of Trustees of the Museum of the City of New York, a member of the Board of Directors of the Hospital for Special Surgery, and a member of the Board of the Alternative Investment Management Association. He received his MBA from Harvard and serves on the Harvard Business School's Board of Advisors.

Mr. Escarrer, WG'93, is the former Vice Chairman of Melia Hotels, where he previously served as CEO. Mr. Escarrer currently chairs the Wharton Executive Board for Europe, the Middle East and Africa and served as Chair of the Wharton Global Alumni Forum in Madrid in 2010. He also serves as Vice Chairman of the Spanish Executive Committee of the International Chamber of Commerce.

Mr. Huntsman is President, CEO and Director of Huntsman Corporation. He is currently a member of the Wharton Undergraduate Executive Board. Mr. Huntsman helps direct a number of domestic and international humanitarian initiatives including housing projects in the country of Armenia, relief efforts in response to tsunami floods in India, Thailand and Indonesia, and the establishment of schools in Africa.

Mr. Lawrence, W'83 is Founding Partner and CEO, of Meridian Capital Partners. Mr. Lawrence is currently the Chair of the Wharton Undergraduate Executive Board, a role he has held since 2008. He was previously a member of the Making History Campaign Major Gift Committee.

Mr. Rowan, W'84, WG'85, is Founding Partner and Principal of Apollo Management. Mr. Rowan has been a member of the Wharton Undergraduate Board since 1998. He recently served as Chair of the Planning Committee for the Wharton Economic Summit and established the Wharton Public Policy Initiative. Mr. Rowan is a founding member and serves on the executive committee of the Youth Renewal Fund and is a member of the board of directors of the National Jewish Outreach Program. His son, Josh Rowan, W'17, will be coming to Penn next fall.

Mr. Soldatos, WG'74, is a Managing Director at AEA Investors in London. He previously served as a member of the Wharton Graduate Executive Board from 1997-2010 and has served as a member of the Wharton Graduate Class of 1974 Gift Committee.
Resolution to Appoint M. Claire Lomax to the WXPN Policy Board

RESOLVED, that M. Claire Lomax be appointed to the WXPN Policy Board effective June 14, 2013.

Ms. Lomax is General Counsel of the Lomax Companies and CEO of the Lomax Family Foundation. She serves as a University Trustee and as a member of the Board of Overseers of the School of Social Policy & Practice. Ms. Lomax is a TCPW Member, a member of the Penn Alumni Board of Directors, and is the former Co-Chair of the James Brister Society.