Minutes of the Meeting of the Executive Committee of the Trustees of the University of Pennsylvania
September 14, 2006

A meeting of the Executive Committee of the Trustees of the University of Pennsylvania was convened on Thursday, September 14, 2006, at 2:40 pm in Bodek Lounge of Houston Hall.

Trustees present were: L. John Clark, David Cohen, Amy Gutmann, Jon Huntsman, Paul Kelly, William Mack, Deborah Marrow, James Riepe (Chair), David Silfen, George Weiss, Paul Williams and Mark Winkelman.


The complete texts of all resolutions passed in the course of the meeting are appended to this document and are to be considered part of the official record of this meeting.

Chair’s Report

Mr. Riepe called the meeting to order and welcomed everyone to campus. He also welcomed Mr. Eric Kaplan back to campus in the role of Associate Secretary in the Office of the University Secretary. The minutes of the May 10, 2006 meeting were approved as distributed.

The following resolutions were presented and approved (full resolution text is appended):

- Resolution on Appointment of Andrew Rachleff to the Investment Board (page 1).

President’s Report

President Amy Gutmann reported on Convocation. She also reported on a record forty new faculty members recruited to the School of Arts and Sciences this year, among whom are two noted scholars of electoral politics: Richard Johnston who will run the Annenberg Election Survey and hold an appointment in political science; and, from Yale, John Lapinski who studies Congress and elections and has served as an election consultant to NBC.

President Gutmann also reported on the completion of two new science buildings, the School of Engineering and Applied Science’s Skirkanich Hall and the School of Veterinary Medicine’s Hill Pavilion. She noted that the eagerly-awaited state-of-the-art 13,000-square-foot Platt Performing Arts House in Stouffer College House will open in October.
President Gutmann completed her report noting that the implementation of the Penn Connects campus development plan is proceeding, as is the “quiet phase” of the capital campaign. She noted that Penn Connects already has won two campus planning awards (from the Boston Society of Architects and the Philadelphia Chapter of Lambda Alpha International, an honorary land economics society), and the first year of the quiet phase set a host of University records, with a total of $494 million in gifts and pledges.

*Academic Report*

Provost Ron Daniels reported on Penn’s Botswana initiatives. He reported that he had recently returned from Botswana as part of a Penn delegation. The School of Medicine has been working in Botswana for the past five years, through a partnership with Merck, under the leadership of infectious disease specialists Drs. Harvey Friedman and Steven Gluckman. During this time, several faculty members and over sixty medical students, residents, and physicians have spent time there providing patient care. Through their work, Penn has made real contributions in the delivery of patient care as well as in enhancing the culture of the hospital. Penn is now entering into an agreement with the University of Botswana to participate in the creation of a medical school, and the School of Medicine will be providing graduate training to the medical doctors educated at the University of Botswana.

A second initiative, also related to Penn’s efforts in Botswana, is a major interdisciplinary research center on HIV/AIDS. Provost Daniels reported that approximately 30% of Botswana’s population is infected. The life expectancy in Botswana averages 38 years, which has a devastating impact on society. Through the work of Dr. Jim Hoxie at Penn’s Center for AIDS Research and Dr. John Jemmott at the Annenberg School, the University is developing an NIH grant with the University of Botswana to establish a new center. The goal is to enhance faculty research through this project, engage a number of schools, and involve Penn undergraduate students in internships related to this initiative.

Provost Daniels also reported on achievements within the School of Engineering and Applied Sciences (SEAS). He noted that this was a banner year for recruitment with more than ten new faculty members being hired; three of whom are women. He reported on the following awards:

- Beth A. Winkelstein, Bioengineering: National Science Foundation Career Award
- Shu Yang, Materials Science and Engineering: National Science Foundation Career Award
- Vaclav Vitek, Materials Science and Engineering: Elected to the National Academy of Engineering.

He concluded his report by noting that in May 2006, fourteen students and a faculty member traveled to Honduras to begin construction of a sustainable water distribution and sanitation system for use by a village of 225 inhabitants. The project was designed and implemented by SEAS and the Penn chapter of the National Organization of Engineers Without Borders.
The following resolution was presented and approved (full resolution text is appended):

- Resolution on Faculty Appointments, Leaves and Promotions (page 2).

**Financial Report**

Executive Vice President Craig Carnaroli reported that the University, and particularly the Health System, had a successful year financially. The Health System had its strongest year, since the early 1990s. Mr. Carnaroli referenced, as his report, the financial report submitted to the Budget & Finance Committee.

**Penn Medicine Report**

Executive Vice President for the Health System and Dean of the Medical School Arthur Rubenstein reported that the University continues to do very well in NIH rankings and is currently number two in the country. Dean Rubenstein reported that Dr. Aaron T. Beck, University Professor Emeritus of Psychiatry, was the recipient of the 2006 Albert Lasker Award for Clinical Medical Research. Dean Rubenstein noted that the Lasker Award is second only to the Nobel Prize in international prestige. Dr. Beck has worked in the area of cognitive neuroscience and has made enormous contributions in this area. Dean Rubenstein also reported that Dr. Craig B. Thompson had been named the new Director of the Abramson Cancer Center of the University of Pennsylvania and Associate Vice President for Cancer Services of the University of Pennsylvania Health System.

Dean Rubenstein reported that the Medical School admitted 151 students this year from a pool of 5,700 applicants from all over the world. He added that Dr. Gail Morrison, Vice Dean for Education and Director of the Office of Academic Programs in the School of Medicine, was the recipient of the 2006 Daniel C. Tosteson Award for Leadership in Medical Education from the Carl J. Shapiro Institute for Education and Research. He noted that the Tosteson Award is the premier prize for medical education leadership in this country.

Dean Rubenstein concluded his report by noting that the Health System continues to be strong both operationally and financially and provided more than $50 million in uncompensated care to the citizens of West Philadelphia.

President Gutmann added that she and Dean Rubenstein had the pleasure of celebrating his 50 years of service with Dr. Peter C. Nowell, Professor of Pathology and Laboratory Medicine, and the 1998 Albert Lasker Award recipient. She noted that Dr. Nowell has made many significant contributions to science throughout his long and distinguished career, most notably in his discovery of the Philadelphia chromosome, the first human gene linked to cancer.
Committee Reports

Facilities and Campus Planning Committee

Mr. William Mack reported that architects Scott Erdy and David McHenry presented the updated design for the new student apartments to be constructed on the 3900 block of Walnut Street. He noted that the project would be a ground lease with a 65-year term to a third-party developer, University Partners, an affiliate of FirstWorthing, based in Atlanta. This 500-bed project will be marketed primarily to undergraduate and graduate students, currently living off-campus and will offer a variety of unit types. Its 44,000 gsf of retail space will enliven Walnut Street, and provide continuity with the adjacent retail activity. The architectural design is progressing to the development of construction documents this fall. The exterior façade materials and finishes will continue to be refined and reviewed by the Design Review Committee, on which the Board is represented by Trustees Wendy Joseph and Chris Browne. Mr. Mack noted that President Gutmann highlighted, and the committee endorsed, the need to stay on schedule in order to meet the planned opening for students of fall 2008.

In a second presentation to the Committee, Frank Daly, the Managing Director of Design and Construction, summarized a successful completion of summer projects. Nineteen projects were presented, representing approximately $50 million in facility re-investment. The projects presented included high rise interior improvements, life safety upgrades in residence halls and fraternity houses, classroom and office renovations, ADA improvements, historic window restoration, HVAC infrastructure upgrades, and new campus walkway and lighting projects.

Budget and Finance

Mr. John Clark reported that the Committee had reviewed the University and Penn Medicine preliminary financial results for the twelve months ended June 30, 2006. He noted that, as Mr. Carnaroli already reported, the University was in excellent financial shape.

The following resolutions were presented and approved (full resolution text is appended):

- Resolution to Authorize the Revised Scope and Budget for the Design and Construction of the Annenberg Public Policy Center for an Additional $7.0 Million for a Total Revised Budget of $29.0 Million (page 58);

- Resolution to Authorize the Design and Construction of the School of Veterinary Medicine New Bolton Center Isolation and Colic Barn Facility in the Amount of $12.0 Million and the Chemical Tissue Digester Facility in the Amount of $2.35 Million (page 59);
• Resolution to Authorize a Lease for the School of Medicine General Clinical Research Center at Presbyterian Medical Center, Mutch Building; Total Lease Obligation $3.7 Million (NPV), Inclusive of $1.9 Million in Capital (page 60);

• Resolution to Authorize the School of Medicine Purchase of 3T Magnet for the Stellar-Chance Basement in the Amount of $2.5 Million (page 61);

• Resolution to Authorize the Design and Construction of the School of Engineering and Applied Science Towne Building 127/129 Laboratory and HVAC Renovations in the Amount of $1.5 Million (page 62);

• Resolution to Authorize the Design and Construction of the Maloney Building Ground Floor Renovations in the Amount of $4.14 Million (page 63);

• Resolution to Authorize the Design and Construction of the Maloney 8th Floor ITMAT Renovations in the Amount of $2.26 Million (page 64).

The meeting was adjourned at 3:10 pm.

Respectfully submitted,

Leslie Kruhly
Secretary of the University